# Prevalence and predictors of severe Crohn's disease at a tertiary hospital in South Africa

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Background. Predicting severe Crohn's disease (SCD) can assist in planning risk reduction therapy for SCD, thereby improving disease outcomes.

Objective. To determine the prevalence and predictors of SCD in a sample of South African (SA) patients.

**Methods.** This was a retrospective chart review of patients with Crohn's disease (CD) attending the gastroenterology unit at a tertiary hospital in Durban, SA. Demographic and clinical variables at diagnosis of CD were collected and analysed for statistical associations with SCD (defined as the presence of  $\geq 1$  of the following over the course of CD: complex perianal disease, colonic resection,  $\geq 2$  small-bowel resections, a single small-bowel resection >50cm, or construction of a definitive stoma). The prognostic utility of statistically significant variables was investigated by establishing their sensitivity, specificity and predictive values for SCD.

**Results.** The study sample consisted of 93 patients. The rate of SCD was 64.5%, with 63.3% of patients developing SCD within 1 year of CD diagnosis. Ileocolonic location (p=0.046) and penetrating disease at initial diagnosis of CD (p=0.021) were statistically associated with SCD. The sensitivity, specificity, positive predictive value and negative predictive value of ileocolonic location for SCD were 72.7%, 47.4%, 66.7% and 54.6%, respectively. The sensitivity, specificity, positive predictive value and negative predictive value of penetrating disease for SCD were 85.7%, 41.7%, 30.0% and 91.0%, respectively.

**Conclusion.** Most patients with CD developed SCD within 1 year of their CD diagnosis. CD with a penetrating phenotype at diagnosis is a good predictor for the development of SCD and should be further investigate.

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Crohn's disease (CD) is a subtype of inflammatory bowel disease, involving inflammation of the gastrointestinal tract. CD results from an interaction between genetic and environmental factors. It tends to affect the distal small intestine and colon.<sup>[1]</sup> Inflammation in CD is discontinuous along the intestine, and can involve all layers from mucosa to serosa.<sup>[1]</sup> Clinical presentation may depend on location of disease, and outcomes are based on individualised factors.<sup>[2]</sup>

Corticosteroids, immunomodulators or biological agents are usually used to treat CD.<sup>[2]</sup> A proportion of patients may fail to respond to therapy, and complications will occur in half of these patients, resulting in the development of severe Crohn's disease (SCD).<sup>[3-6]</sup> Age, gender, disease location and behaviour, use of corticosteroids and smoking have been identified as predictors for the development of SCD.<sup>[6]</sup> However, these findings should be interpreted with a degree of caution, as limitations regarding the reliability of existing published data are the inconsistent definitions used for SCD, heterogeneity of study designs, as well as conclusions based on these analyses.<sup>[6]</sup>

Loly *et al.*<sup>[7]</sup> defined SCD as the presence of  $\geq 1$  of the following over the entire disease course of CD: complex perianal disease, any colonic resection, two or more small-bowel resections (or a single small-bowel resection more than 50 cm in length) or construction of a definitive stoma. Using this definition, Loly *et al.*<sup>[7]</sup> and Watermeyer and Thomson<sup>[8]</sup> determined the rate of development and predictors of SCD. The advantage of this definition is that it is uncomplicated, easy to apply and already validated in a South African (SA) setting. Loly *et al.*<sup>[7]</sup> identified weight loss and stricturing disease at diagnosis of CD to be independently associated with time to development of SCD. Watermeyer and Thomson<sup>[8]</sup> identified perianal disease and granulomas on endoscopic mucosal biopsy as predictors of SCD. Even though the criteria for SCD were the same in both studies, the study designs and conclusions varied. Furthermore, the study by Watermeyer and Thomson was the only local research conducted on predictors of SCD. Therefore, further research in a SA setting is required to understand SCD in a local context.

Additionally, early aggressive treatment<sup>[9,10]</sup> may prevent poor outcomes associated with SCD. These drugs, however, are expensive and associated with adverse events such as serious infections and development of malignancies.<sup>[11,12]</sup> In addition, optimisation of a patient's nutritional state, achievement of mucosal healing and treatment of existing sepsis may prevent morbidity and mortality associated with complex abdominal surgery for CD.<sup>[13]</sup> Consequently, it is imperative to appropriately select patients for a targeted treatment approach.

This study aimed to determine the prevalence of SCD and applicability of various clinical characteristics for the prediction of SCD in patients attending a SA tertiary hospital.

## Methods

#### Study setting

The study was a retrospective chart review of patients with a diagnosis of CD at the gastroenterology unit at a tertiary hospital in Durban, SA. The gastroenterology unit manages both inpatients and outpatients referred from secondary public-sector hospitals in the region.

#### Study eligibility criteria

All patients aged  $\geq$ 12 years with a diagnosis of CD registered from 1 January 2003 to 31 December 2019 were included. Patients who had a diagnosis that was revised and those with incomplete data were excluded from analysis.

#### Data collection

Each patient medical chart was reviewed, and relevant data were recorded on an Excel spreadsheet (Microsoft, USA) for the following variables at diagnosis of CD: age, gender (selfreported by patient), smoking status, presenting symptoms and presence of non-caseating granulomas on endoscopic mucosal biopsy. The presence of extraintestinal manifestations (EIMS), namely primary sclerosing cholangitis (PSC), erythema nodosum (EN), pyoderma gangrenosum (PG), uveitis, scleritis, peripheral arthritis, axial arthropathies, other EIMS at diagnosis and followup, medical treatments (use of corticosteroids, immunomodulators and biological agents) and hospitalisations was recorded. Disease phenotype was assessed according to the Montreal classification for CD.<sup>[14]</sup> Age at diagnosis was classified as A1: <16 years; A2: between 17 and 40 years; or A3: >40 years. A simplified age cut-off of >40 years was used for analysis. CD location was classified as follows: L1: ileal; L2: colonic; L3: ileocolonic; L4: upper gastrointestinal CD. CD behaviour was classified as follows: B1: non-stricturing/nonpenetrating; B2: stricturing; B3: penetrating; p: perianal disease. The development of SCD was defined according to the definition proposed by Loly et al.<sup>[7]</sup> Those patients who did not meet criteria for SCD were classified as having non-severe Crohn's disease (NSCD).

#### Statistical analysis

Data were analysed using SPSS version 27.0 (IBM Corp., USA). Categorical variables are summarised using frequencies and percentages. Depending on distribution of continuous variables, medians (interquartile ranges (IQRs)) were calculated to reflect their central tendency. Standard deviations or interquartile ranges were calculated to reflect their dispersion. Pearson's  $\chi^2$  test was used to test for statistical associations between clinical characteristics and the development of SCD. *P*<0.05 was considered statistically significant. Furthermore, the predictive accuracy of characteristics statistically associated with SCD was estimated using sensitivity, specificity, positive predictive values and negative predictive values. Where applicable, 95% confidence intervals (95% CI) are provided for estimates.

#### Ethical approval

Ethical approval for this study was obtained from the Biomedical Research Ethics Committee of the University of KwaZulu-Natal (ref. no. BREC/00004596/2022).

### Results

One hundred and thirty-six charts with an initial diagnosis of CD were identified. Fig. 1 provides a summary of the number of patients who were included and excluded from this study. There were 43/136 patients who were excluded, with the most common reason for exclusion being missing data (23/43 excluded patients). Therefore, the final study sample consisted of 93 patients with CD. Most patients (62.3%) were female (male: female ratio 1:1.65). The median (IQR) age at diagnosis was 30 (23 - 40) years. The median (IQR) duration of disease and follow-up were 264 (84 - 307) months and 84 (36 - 120) months, respectively. Diarrhoea (n=42, 45.2%) was the main presenting complaint. Other presenting complaints, smoking status

and disease phenotype according to Montreal classification are shown in Table 1. Twenty-six patients had EIMS, the most common of which were peripheral arthritis (n=13, 13.9%) and axial arthritis (n=7, 7.5%). Thirty-nine patients (41.9%) developed EIMs after the diagnosis of CD, the most common of which was anaemia (n=20, 21.5%).

Sixty patients (64.5%) met the criteria for development of SCD. The remaining 33 patients (35.5%) were classified as NSCD patients. Events meeting the criteria for SCD included: any colonic resection (n=51, 54.8%); 2 or more small bowel resections (n=10, 10.7%); single small bowel resection >50 cm in length (n=11, 11.8%); construction of a definite stoma (n=10, 10.7%); and complex perianal disease (n=10, 10.7%). More than one of the criteria for SCD were recorded in 26 (27.9%) patients. Median (IQR) time to development of SCD was 12 (1 - 60) months. In patients who developed SCD (n=60), the event occurred within 1 year in 38 patients (63.3%), within 1 - 5 years in 8 patients (13.3%) and after 5 years in 14 patients (23.3%).

The comparison of demographic and clinical features between SCD and NSCD patient groups is shown in Table 2. There was no difference between age at diagnosis of CD between the SCD and NSCD group (p=0.054). There was a significantly (p=0.046) higher proportion of patients with ileocolonic location at diagnosis of CD in the SCD group (n=40, 43.0%) when compared to the NSCD group (n=15, 16.1%). A significantly higher (p=0.02) proportion of patients with penetrating disease in the SCD group (n=18, 19.4%) when compared with the NSCD group (n=3, 3.2%) was observed. Other clinical variables analysed were not significantly different between the SCD and NSCD groups (Table 2).

As per Fig. 2, the presence of granulomas on mucosal biopsy at diagnosis was recorded in 12 patients (12.9%). No granulomas were identified in 43 patients (46.2%). There was no statistical difference

Table 1. Demographic and clinical presentation of patients        with Crohn's Disease			
Characteristic	n (%)		
Cigarette smoking			
Smoking/previous smoking	47 (50.3)		
Non-smoking/unknown smoking	46 (49.5)		
Presenting symptoms			
Lower abdominal pain	30 (32.2)		
Diarrhoea	42(45.2)		
Passing blood with stools	12 (12.9)		
Weight loss	19 (20.4)		
Extra-intestinal manifestations (EIMs)			
EIM at diagnosis (total)	26 (27.9)		
Montreal classification of disease			
Age at diagnosis			
<16 years	7 (7.5)		
17 - 40 years	63 (67.7)		
>40 years	23 (24.7)		
Location			
Ileal	9 (39.1)		
Colonic	22 (23.6)		
Ileocolonic	55 (59.1)		
Behaviour			
Non-stricturing/non-penetrating	52 (55.9)		
Stricturing	7 (7.5)		
Penetrating	21 (22.5)		
Perianal	8 (8.6)		
Isolated upper gastrointestinal disease	0 (0.0)		

Characteristic	SCD, <i>n</i> (%)	NSCD, <i>n</i> (%)	<i>p</i> -value
Total	60 (64.5)	33 (35.5)	
Age of diagnosis <40 years	49 (52.7)	21 (22.6)	0.054
Males	20 (21.5)	15 (16.1)	0.248
Cigarette smoking	32 (34.4)	15 (16.1)	0.467
Lower abdominal pain	23 (24.7)	7 (7.5)	0.910
Diarrhoea	24 (25.8)	18 (19.4)	0.177
Blood in stool	8 (8.6)	4 (12.1)	0.867
Weight loss	10 (10.8)	9 (9.7)	0.225
Granuloma on histology	8(8.6)	4(4.3)	0.867
Disease location			
Ileal	6(6.5)	3 (3.2)	0.887
Colonic	11(11.8)	11 (11.8)	0.103
Ileocolonic	40 (43.0)	15 (16.1)	0.046
Disease behaviour			
Non-stricturing/non-penetrating	30 (32.3)	22 (23.7)	0.121
Stricturing	6 (6.5)	1 (1.1)	0.223
Penetrating	18 (19.4)	3 (3.2)	0.021
Perianal disease	5 (5.4)	3 (3.2)	0.901
Peripheral arthropathy	7(7.5)	6 (6.5)	0.386
Axial arthropathy	3(3.2)	4 (4.3)	0.213
Presence of any eims	9 (9.7)	5 (5.4)	0.984
Steroids use at diagnosis	11 (18.3)	8 (8.6)	0.499
Steroid dependence	18 (19.4)	7 (7.5)	0.360
Immunomodulator use	52 (55.9)	29 (31.2)	0.867
Use of antitumour necrosis factor agents	8 (8.6)	5 (5.4)	0.809
Hospitalisation within 12 months of diagnosis	24 (25.8)	10 (10.8)	0.353



*Fig. 1. Derivation of the study sample. (CD = Crohn's disease.)* 

(p=0.867) in the prevalence of granulomas between the SCD (n=8, 8.6%) and NSCD (n=4, 4.3%) groups. Of the 12 patients with non-caseating granulomas on endoscopic mucosal biopsies, 9 patients (75.0%) had non-stricturing/non-penetrating disease, and 3 patients (25.0%) had penetrating disease at diagnosis of CD.

The predictive accuracy of ileocolonic disease location and penetrating disease at diagnosis for subsequent SCD is shown in Table 3. Sensitivity for ileocolonic location was fair (72.7%, 95% CI 59.0 - 83.9%). Sensitivity for penetrating disease was good (85.7%, 95% CI 63.7 - 97.0%). Specificity and the positive predictive values obtained for ileocolonic location and penetrating disease were low. The negative predictive value for ileocolonic location was low (54.6%, 95% CI 36.4 - 72.0%), but excellent for penetrating disease (91.0%, 95% CI 75.7 - 98.1%).

## Discussion

This retrospective study identified that most patients with CD developed SCD. In addition, most patients developed SCD within 1 year of initial diagnosis of CD. Disease location and disease behaviour at diagnosis of CD were associated with the development of SCD. Penetrating phenotype at diagnosis had a good sensitivity and excellent NPV for predicting the development of SCD. Ileocolonic location had a fair sensitivity but low specificity for predicting the developed SCD.

The prevalence of SCD (64.5%) in this study was much higher than that in two previous studies that used the same definition for SCD.<sup>[7,8]</sup> The rates of SCD identified by Loly *et al.*<sup>[7]</sup> and Watermeyer and Thomson<sup>[8]</sup> were similar at 37.4% and 33.7%, respectively. The difference between findings by Loly *et al.* and this study may be attributed to the variation in sample size. The study by Watermeyer and Thomson had a similar sample size to this study, but excluded patients with perianal, stricturing and penetrating disease at diagnosis. Owing to differing study designs, results from the two previous studies<sup>[7,8]</sup> and the current study cannot be directly compared. Discrepant rates for major abdominal surgery at

Table 3. Predictive accuracy for ileocolonic location and penetrating disease at diagnosis of CD for subsequent SCD					
Characteristic	Sensitivity,	Specificity,	Positive predictive	Negative predictive	
Characteristic	% (95% CI)	% (95% CI)	value,% (95% CI)	value,% (95% CI)	
Ileocolonic location	72.7 (59.0-83.9)	47.4 (31.0-64.2)	66.7 (53.3-78.3)	54.6 (36.4-72.0)	
Penetrating disease	85.7 (63.7-97.0)	41.7 (30.2-53.9)	30.0 (18.9-43.2)	91.0 (75.7-98.1)	

Table 3. Predictive accuracy for ileocolonic location ar	nd penetrating disease at diagnosis of CD for subsequent SCD
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CI = confidence interval; CD = Crohn's disease; SCD = severe Crohn's disease.



Fig. 2. Presence of granulomas on endoscopic mucosal biopsy at diagnosis (n; %)

5 years of diagnosis of CD was shown earlier in North America and Europe, at 43.7% v. 59.0%, respectively.<sup>[15]</sup> Diagnostic and treatment options were similar in these countries, therefore authors attribute differences in surgical rates to unidentified environmental and genetic factors.<sup>[15]</sup> Unidentified genetic or environmental factors and variable therapeutic options may be a reason for the high rate of SCD in this study. The tertiary setting where patients with more severe disease are inevitably treated may further contribute to high prevalence of SCD in this study.

More than half (63.3%) of the 60 patients who developed SCD in this study met this outcome early, within 1 year of diagnosis of CD. Penetrating disease at diagnosis, a more aggressive phenotype,<sup>[16]</sup> may explain the rapid progression to SCD. In the present study, the sensitivity for penetrating disease at diagnosis as a predictor for the development of SCD was good. Penetrating lesions include fistulas, phlegmons or abscesses, and may reflect development of significant damage to the bowel.  $^{\scriptscriptstyle [17]}$  The association with a penetrating phenotype and the need for early abdominal surgery for CD identified in this study is consistent with the literature.[3,15,18-22]

The association between ileocolonic location and poor outcomes in CD was shown by Beaugerie et al.,<sup>[9]</sup> who used a combination of criteria to define disabling CD. Several other studies identified ileal or ileocolonic location as independent risk factors for need for surgery.<sup>[15,18,19,23]</sup> Similarly to this study, Loly et al.<sup>[7]</sup> identified a significant association between ileocolonic disease and the development of SCD. The finding was expected for small bowel disease as this location was frequently associated with penetrating and stenosing disease.  $\ensuremath{^{[3]}}$  The ileocolonic location of disease had a lower positive and negative predictive value when compared with penetrating disease.

Young age at diagnosis of CD has been reported in previous studies as a predictor for early surgery,<sup>[24]</sup> requirement for stoma,<sup>[22]</sup> relapse of CD after surgery<sup>[18]</sup> and complex abdominal surgery.<sup>[25]</sup> The present study failed to establish an association between age at diagnosis of CD and SCD. This is consistent with studies by Loly et al.<sup>[7]</sup> and Watermeyer and Thomson<sup>[8]</sup> The literature is inconsistent with respect to age as a predictor of SCD. One study identified older age of diagnosis, between 45 and 59 years old, to be a risk for abdominal surgery in CD,<sup>[26]</sup> while other studies did not find any association.<sup>[21,27-29]</sup>

One-third of patients in this study smoked cigarettes. An association between smoking and SCD was not identified in this study. This was not unusual, as there is an inconsistent association between smoking and poor outcomes in CD reported in the current literature.<sup>[30]</sup> Some studies show a strong association between smoking and requirement for surgery,<sup>[31,32]</sup> early surgery<sup>[3]</sup> and post-surgical recurrence.<sup>[33]</sup> There are other studies that show that there is no association between smoking and poor outcomes with respect to requirement for complex abdominal surgery.[34-36]

In the present study, the presence of granulomas on colonic mucosal biopsies was not a useful predictor for the development of SCD. This was different to the only local study analysing the presence of intestinal non-caseating granulomas on histology at diagnosis of CD as a predictor for development of SCD.<sup>[8]</sup> The difference probably relates to the rate of granulomas identified. While the majority of patients had granulomas in the study by Watermeyer and Thomson,<sup>[8]</sup> it was rare in our study. A previous large study of 10 456 patients with CD also found that granulomas were rarely found (9%).[37] A recent meta-analysis of 19 studies identified that the presence of granulomas was significantly associated with hospitalisations, but not surgery.<sup>[38]</sup> This meta-analysis also identified specific factors preventing applicability to a clinical setting. These factors include a wide variation in prevalence of CD patients with granulomas, several retrospective and observational study designs, unclear method for tissue acquisition and inclusion of granulomas from both mucosal biopsies and surgical specimens.<sup>[38]</sup> Therefore, the significance of granulomas on the outcome of CD is uncertain, as conclusions made by previous studies are variable and therefore not easily applicable.

Previous studies have suggested various other predictors of poor outcomes in CD, namely gender,<sup>[15,22]</sup> weight loss,<sup>[7,37]</sup> presence of  $\mathrm{EIMS},^{[27]}$  use of immunosuppressant  $\mathrm{drugs}^{[4,27,39]}$  and the need for repeated courses of steroids.<sup>[3,27]</sup> The present study failed to establish an association between any of these variables and SCD. The small sample size may be the main reason for an absence of a statistical association between the various clinical characteristics and development of SCD in this study. In addition, risk factors from other populations may not be applicable to the population in this study. Further studies with larger sample sizes are required to detect an association between some of the variables and the development of SCD. Comparably with recent studies, we recommend investigating additional variables such as blood parameters,<sup>[28]</sup> faecal calprotectin,<sup>[28]</sup> patterns of use of biological agents,<sup>[27,28]</sup> findings on intestinal ultrasound<sup>[28,40,41]</sup> and genotypic variables<sup>[40]</sup> to improve prediction of SCD.

A limitation of this study is the retrospective design that is more likely to have missing data. Patients were excluded because of missing data, contributing to a small sample size. Therefore, predictors identified in previous studies could not be replicated. Given the tertiary setting, patients with less severe disease may have been managed at other secondary hospitals and did not present to the gastroenterology unit at the study site for care.

This study highlights the poor outcome of patients with ileocolonic and penetrating CD. As described in literature, patients with moderate to severe ileocaecal disease who had an initial response to corticosteroids may benefit from early anti-tumour necrosis factor (TNF) agents.<sup>[17]</sup> However, variable efficacy of biological therapy is seen in patients with external and internal intestinal fistulae.<sup>[42]</sup> Response rates for external and internal intestinal fistulae to ant-TNF agents vary between 14 and 25%.<sup>[43-45]</sup> Therefore, medical therapy should be individualised in patients presenting with penetrating disease to improve outcomes. Biological therapy, specifically infliximab, was shown to be more effective in treating fistulising disease, especially perianal fistulae.<sup>[42]</sup> Abscesses and phlegmons may require initial treatment with antibiotics.<sup>[46,47]</sup> Low-output fistulae without abscess may respond to immunomodulator and biological therapy.<sup>[48]</sup> In patients with fistulising CD, management of malnutrition, mucosal inflammation and abdominal sepsis to prevent morbidity associated with subsequent bowel surgery is recommended. <sup>[13,42]</sup> While both ileocolonic location and penetrating disease at diagnosis of CD have a predictive value for the development of SCD in this study, penetrating disease is a better option. Further research is required to confirm the role of penetrating phenotype as a predictor for the development of SCD.

## Conclusion

In conclusion, the prevalence of SCD in this study was high, and occurred within 1 year of initial diagnosis of CD in most patients. Penetrating disease at diagnosis of CD may be a prognostic marker for SCD at this study setting. However, further research and validation are necessary to establish the clinical utility of this prognostic marker. If validated, penetrating phenotype at diagnosis of CD may be utilised as an indicator to initiate risk reducing measures earlier.

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#### Conflicts of interest. None.

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