

## Charting a path along the continuum of PMTCT of HIV-1, to elimination, and finally to eradication



In this editorial we traverse the continuum of transmission of HIV-1 from mothers to children to highlight the biomedical history of this problem. Treatment has progressed from prevention with antiretrovirals (ARVs) through to a broader set of interventions, including various breastfeeding options and other health system improvements, that have increased the possibility of eliminating mother-to-child-transmission (MTCT) of HIV. At the far end of the continuum, the spectacular findings in the case of the Mississippi 'cured' baby indicate that eradication is possible.

HIV infections in children are overwhelmingly due to MTCT,<sup>[1]</sup> intra-uterine infections accounting for 10 - 25% of cases and intrapartum infections and those through breastfeeding for 35 - 40%. High- and middle-income countries have had considerable success in preventing new HIV infections in children. All HIV-positive pregnant women in developed countries are treated with ARVs, so they have low viral loads (VLs) before and during delivery. In Europe and the USA, perinatal HIV-1 transmission occurs in only 1% of treated women with RNA virus loads <1 000 copies/ml. With further suppression of viraemia, perinatal transmission may be eliminated.<sup>[2]</sup> The World Health Organization (WHO) uses standardised transmission rates:<sup>[3]</sup> the 2010 WHO-recommended regimens (options A or B) are estimated to be associated with a 2% probability of peripartum transmission and a 0.2% probability of transmission per month of breastfeeding. Peripartum and postnatal transmission probabilities were lowest for women who were taking antiretroviral therapy (ART) before the pregnancy, namely 0.5% peripartum and 0.16% per month of breastfeeding.

Intra-uterine and intrapartum transmissions account for the majority of transmissions in industrialised countries, whereas in developing countries breastfeeding (up to 24 months of age) can account for 30 - 40% of all MTCT.<sup>[4]</sup> Interventions directed at all three routes of transmission have been very successful in industrialised countries, where transmission rates have fallen from ~25% to <2% and survival of infants has improved.<sup>[4]</sup> In many middle-income countries transmission rates are now ~2 - 3%. In South Africa (SA), anecdotal data suggest that the transmission rate in the early 1990s was ~32%. It was 2.5% in 2012.<sup>[5]</sup>

Summarising evidence for three levels of avoiding MTCT, we examine the experience of *preventing* MTCT globally, and suggest the probability of *eliminating* transmission, especially in South Africa (SA). Finally, we raise the question of *eradicating* new HIV infections in children altogether. Elimination and eradication are defined in Table 1.

**Table 1. Definitions of outcomes in HIV infection**

Maternal disease progression	Death, WHO stage 4, or CD4 <sup>+</sup> count <200 cells/ $\mu$ l
Elimination	Absence of clinical signs + undetectable viral particles (in blood and other tissues), without ARV use in a patient previously diagnosed and treated for HIV; MTCT rate <5%
Eradication	Elimination + absence of virus in previously infected latent memory cells; MTCT rate <2%
Amelioration	Substantial improvement of clinical signs and stable viral load in blood

WHO = World Health Organization; ARV = antiretroviral; MTCT = mother-to-child transmission.

We stress that much of the hard scientific data on *prevention* and *elimination* are already available; what is required are health service refinements. *Eradication* demands many more basic science experiments to confirm the results indicating 'cure' in the Mississippi baby, and to increase our understanding of the biology of latency and destruction of the replicative capacity of the virus. We need more information on the cellular and molecular environment of the CD4<sup>+</sup> memory cells, and specific sites that harbour the virus. Among the prerequisites for *eradication* are public health studies to enable SA to scale up facilities and personnel for very early diagnosis and treatment.

### Prevention

Numerous reviews of trials undertaken to prevent MTCT have demonstrated the efficacy of a number of interventions. In brief, these include ARVs, exclusive breastfeeding, and various health service measures such as 'baby-friendly hospitals'.<sup>[1,4,6,7]</sup> The WHO has consolidated these data.<sup>[8,9]</sup> In 2010, deaths attributable to breastfeeding risk factors remained fairly high globally, suboptimal breastfeeding, for example, accounting for deaths of 293 449 male and 251 368 female infants.<sup>[10,11]</sup> Figures for discontinued breastfeeding and non-exclusive breastfeeding are similar.<sup>[11]</sup>

A set of 'four prongs' as a framework to prevent MTCT of HIV-1 has been recommended by the WHO to tackle the problem comprehensively, and is critical in devising an effective and holistic approach to MTCT.<sup>[12]</sup> Their implementation is key to both elimination of MTCT and eradication of HIV in children. The first prong aims at prevention of HIV in women, the second at prevention of unintended pregnancies in HIV-infected women, the third at prevention of transmission of the virus from mothers to their babies, and the fourth at care and support for HIV-infected women, their infants and their families. As part of the general progress in HIV health services in SA (see below), for example, the unmet need for family planning (i.e. the second prong) is <5%.<sup>[13,14]</sup>

SA has made considerable progress in confronting and driving back HIV-1.<sup>[5]</sup> The government has launched an HIV counselling and testing campaign to reach 16 million people, rapidly expanded circumcision rollout, enhanced prevention of mother-to-child transmission (PMTCT) programmes, promoted integration of the treatment of HIV and tuberculosis, and drastically increased its budget to expand ART to 1.7 million people while simultaneously moving thousands of patients from PEPFAR-funded treatment programmes to government services. The impact of PMTCT programmes in SA is now evident at community level. Within 10 years of the start of the country's PMTCT programme, 95% of all health facilities were providing this service in 2010. SA is also one of four countries that have achieved over 80% coverage with ARV prophylaxis to prevent MTCT. More than 98% of women receive an HIV test during pregnancy, and 91.7% of HIV-positive mothers are receiving ART or prophylaxis.<sup>[14]</sup> The national survey in SA<sup>[5]</sup> showed that MTCT rates had fallen to ~2.7% (95% confidence interval 2.1 - 3.2%) in 2011. This was confirmed by recently published global reports<sup>[13,14]</sup> revealing that new HIV infections in SA children (aged 0 - 14 years) have continued to decline, with a fall of 63% (from 38 000 to 14 000) between 2009 and 2012. Furthermore, 70% of eligible children are receiving ARVs. Transmission rates have fallen throughout the world, including SA, as coverage of MTCT services has increased to nearly 90%.<sup>[5]</sup>

SA's success in dealing with MTCT has been made possible by sound leadership, investments in infrastructure involving personnel, facilities and resources, and wider coverage of PMTCT programmes. In 2009 - 2013, 9 out of every 10 HIV-infected pregnant women received ARVs for PMTCT and 9 out of every 10 infants received ARVs to prevent breastfeeding transmission.<sup>[14]</sup> At the time of writing, there is universal access to ARVs, with 80% of eligible pregnant women receiving ART for their own health.

## Elimination

We have recently drawn together health service changes that will be instrumental in eliminating MTCT.<sup>[15]</sup> In essence, elimination requires escalation, intensification and improvement of the components of the WHO 'four prongs' approach described above. In particular, differential coverage of health services in developing countries has hindered progress, so PMTCT services ought to form part of universal coverage, and the gender-related interventions – primary prevention of HIV in women, family planning counselling, and voluntary and informed termination of unwanted pregnancies – should be integrated into comprehensive PMTCT programmes. Improved advocacy, information and guidance are required to increase public demand and use of these services. Within countries, implementation of recent WHO/UNAIDS ARV Guidelines,<sup>[16]</sup> promotion of breastfeeding and prevention of breastfeeding transmission, and continuing care of mothers and children are necessary.

## Eradication

The news from a highly reputable group of researchers that a baby in Mississippi had been cured of HIV infection was greeted by widespread astonishment, clamorous voices of approval, unrestrained cries of delight and a storm of doubt. For more than 30 years the HIV epidemic has dominated our science and threatened our lives and health, the gloom brigade even questioning our survival. Cure seemed a distant yet ultimate goal – the 'holy grail' of modern scientific endeavour. And here was the first glimmer of a solution.

The mother presented in labour, so no ARVs were given before or during labour. The premature baby was HIV RNA- and DNA-positive, but the VL was moderate when blood samples were tested at 30 and 31 h. Samples from Mississippi were transported to a specialised laboratory, and *in utero* HIV infection was diagnosed. The virus isolated from the mother was replication competent and behaved like other wild-type or laboratory isolates, and neither mother nor baby had genetic markers typical of elite controllers. Mother and baby were started on ARVs ~30 h before the diagnosis was known, with tests showing a decreasing VL over the baby's first month of life. Mother and baby were lost to follow-up (but the infant may have received 12 - 15 months of ART). The mother returned after 2 years, with her baby clinically well, not on ARVs, and seronegative. No HIV was identified by standard tests, but more sophisticated tests showed HIV DNA sequences of uncertain significance on occasional peripheral white blood cells.

The priority research questions were soon established by experts. For example, the currently available ARVs are not all suited for newborns, so an urgent priority is to work with pharmaceutical manufacturers to define the most useful formulations and to provide existing zidovudine/lamivudine dispersible tablets with score marks to allow them to be broken into quadrants of 15/7.5 mg. Some of us believed that the most suitable country to do the studies required to confirm and extend the findings was SA – and in fact the purpose of a recent symposium<sup>[17]</sup> was to report on 'Scientific advances from the "Mississippi baby": Implications for public health programmes on MTCT of HIV'. There are 12 recommendations, covering a

broad range of fields.<sup>[4,18]</sup> Some of the public health proposals were to improve health services as laid out in recent WHO documents on elimination of MTCT, and more aggressive management of HIV-exposed newborns. Challenges identified were diagnosis of HIV at birth, logistical constraints in testing HIV-exposed newborns, availability of the technology for diagnosis, encompassing better point-of-care tests, and appropriate ART for newborns.

A suggested package of interventions to 'eradicate' MTCT in South Africa includes optimising implementation of the evidence-based WHO 'four prongs' comprehensive strategy for PMTCT, improved health services, especially during the perinatal period, infancy and childhood, specifically addressing measures to reduce under-5 and maternal mortality, and improved diagnostic and therapeutic options.

## Additional evidence of 'cures'

- The first and only reported case of a sterilising cure was Timothy Brown, the 'Berlin patient', who was HIV-infected and was given a bone marrow transplant for acute myeloid leukaemia. The donor was naturally resistant to HIV due to a mutation in the CCR5 gene, a critical protein required by HIV to enter and infect cells. Brown stopped ART very soon after transplantation and remains free of HIV after 6 years.<sup>[19]</sup>
- A French report (Visconti cohort) suggests that HIV has been eliminated in adults with chronic infection. This group of patients started treatment within 10 weeks of being infected and adhered to a course of ARV drugs for 3 years, on average, but then stopped. Some have remained under control for a decade.<sup>[20]</sup>
- So-called 'elite controllers', who have undetectable VLs in the absence of ARVs, have been recognised for some time.<sup>[21]</sup>

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