

Direct environmental impacts of solar power in two arid biomes: An initial investigation

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According to recent national energy plans and policy documents, the number of renewable energy developments is expected to increase in South Africa, thus contributing to the diversification of the country's energy system. Consequently, numerous solar power developments are being deployed in the sunny arid interior – areas generally represented by the Nama-Karoo and Savanna Biomes. These developments come with a range of novel environmental impacts, providing opportunities for multidimensional exploratory research. Here, a mixed-method approach was used to identify and investigate possible environmental impacts associated with two types of solar power plants: concentrating solar power and photovoltaic. Structured interviews conducted with experts and experienced professionals, together with observations from site visits generated complementary findings. In addition to the risk of cumulative ecological impacts associated with individual solar plant developments, landscape impacts of multiple power plants and the direct impact on avifauna were found to be the most significant environmental impacts. These direct impacts appear to be most significant during the construction stage, which represents an intensive 10% of the total power plant lifespan. This investigation provides an early, broad and informative perspective on the experienced and expected impacts of solar power in South African arid regions as well as insights to possible future research areas.

Significance:

- Solar power represents a large component of the needed diversification of South Africa's electricity system.
- Research on the environmental impacts of solar power developments in the arid biomes of South Africa still is relatively scarce.
- Increased energy developments in the arid biomes will require knowledge of the associated impacts for conservation planning.
- Identification of environmental impacts throughout solar power lifespans enables informed management.

Introduction

The arid biomes of South Africa host an increasing number of renewable energy projects as the country diversifies its primarily coal-dependent energy system.¹ Generation capacities have been allocated to both concentrating solar power (CSP) and photovoltaic (PV) developments in the Integrated Resource Plan (IRP) of 2010² and the draft IRP update (IRP Update) of 2013, with solar power expected to represent an increasingly significant portion of renewable energy capacity³. Furthermore, the Renewable Energy Independent Power Producers Procurement Programme (REIPPPP) has awarded multiple CSP and PV projects, of which the majority are located in the arid Nama-Karoo and Savanna Biomes.⁴

Environmental impacts associated with individual solar power projects are currently being assessed through environmental impact assessments (EIAs) as governed by the *National Environmental Management Act (Act no 10 of 1998)*.⁵ Internationally, there is increasing research on the environmental impacts of solar power, but the scope of such enquiries varies widely. Previous investigations on the impacts of the solar energy technologies include: life-cycle assessments^{6,7}, studies on the landscape transformation and land-use efficiencies of development footprints^{8,9}, water use requirements^{10,11}, biodiversity and ecological implications^{8,12,13}, and a further specific focus on impacts to avifauna^{14,15}. These impacts could vary per technology type (e.g. parabolic trough plants, central receiver plants) and the associated power plant design.¹³

A strategic environmental assessment (SEA) has been the only study to investigate the environmental impacts on a larger geographical scale in South Africa than has been done through EIAs which focus on individual developments. This SEA also identified potential areas – dubbed renewable energy development zones (REDZ) – associated with optimal social, economic and environmental impacts from wind and PV power developments. Outcomes of a SEA are not mandated but are intended to guide the siting of projects and expedite environmental authorisations for PV and wind power developments. This SEA, however, excluded the identification of such areas for CSP¹⁶ – leaving a gap in the information for this solar power technology type.

Considering the relative novelty of solar power developments in the arid regions of South Africa and the introduction of a potentially wide variety of environmental impacts, the study of these impacts presents a dynamic space for multidisciplinary research.¹⁷ Exploration of this topic has been off to a slow start locally, but research conducted in other parts of the world can guide early research in the arid regions of South Africa.^{10,13}

Focusing within the arid regions of South Africa, we identify and investigate initial direct environmental impacts from utility-scale CSP and PV projects located across the Nama-Karoo and Savanna Biomes. A mixed-method approach was followed, which included conducting structured interviews with experienced and knowledgeable

individuals from diverse, yet relevant, backgrounds and affiliations; site visits to selected solar power plants; and spatial analysis using publicly available data. The methods and results presented here were extracted from a more extensive study on the same topic, which includes a literature review that comprehensively covers the relevant policy, technological characteristics and environmental impacts.¹⁸

Methods

Study area

The availability of good solar resources and capacity on the national transmission grid are determining factors for where solar power developments are located, which explains why almost two thirds (62.5%) of existing PV power plants are located in the Northern Cape, with the rest distributed over five provinces. The proximity of the Orange River is an important consideration, particularly for the location of CSP projects in the study area, because of the need for water in the power cycles; this need contributes to the limited geographical distribution of CSP projects. Approved EIA applications for solar power developments in the Nama-Karoo and Savanna Biomes are shown in Figure 1.

Data collection and analysis

Structured interviews

Structured interviews were conducted from February to May 2016. Criterion¹⁹ and snowball sampling²⁰ were used to identify interviewees from seven expert groups adhering to at least one of the following minimum criteria: (1) experience with or knowledge of the EIA process in South Africa and (2) experience with or knowledge of the environmental impacts of solar power developments. Ethical clearance was obtained before the start of the interview process from the Departmental Ethics

Screening Committee of the Department of Conservation Ecology and Entomology and from the Research Ethics Committee of Stellenbosch University (proposal number SU-HSD-001751).

An interview form, written and presented in English, was designed to obtain qualitative and quantitative data. Prior to the interview, participants gave written consent for their participation and use of data in the study. A total of 20 interviews was conducted; some interviewees responded for both CSP and PV ($n=5$) and others for only CSP ($n=14$) or PV ($n=11$). Conducting interviews in person was the preferred method, but where circumstances prohibited, interviews were conducted telephonically or via Internet video conference. Responses to interview questions were directly recorded as text in an electronic copy of the interview form during the interview, which was then used as the transcript for analysis. Based on the nature of the responses obtained in the different sections of the interview form, the data were captured in Microsoft Excel or directly into the Computer Assisted Qualitative Data Analysis Software (CAQDAS) Atlas.ti 7^{®21} in preparation for analyses.

Qualitative data were subjected to content and thematic analysis using Atlas.ti and two cycles of coding of the responses, which were obtained from different sections of the interview form. Coding aims to define qualitative data and involves identifying and highlighting parts of text, pictures or recordings that resemble a similar theoretical or descriptive concept, which is referred to as *code*.²² During initial or open coding, responses to certain sections of the interview form were selected, after which a code was linked to each quotation. Second cycle coding involved the categorisation of codes based on predetermined subjects for discussion and patterns that emerged from open-ended sections of the interview forms. After the categorisation of codes into sub-themes or categories, content analysis was done, to prepare for thematic analysis.²³

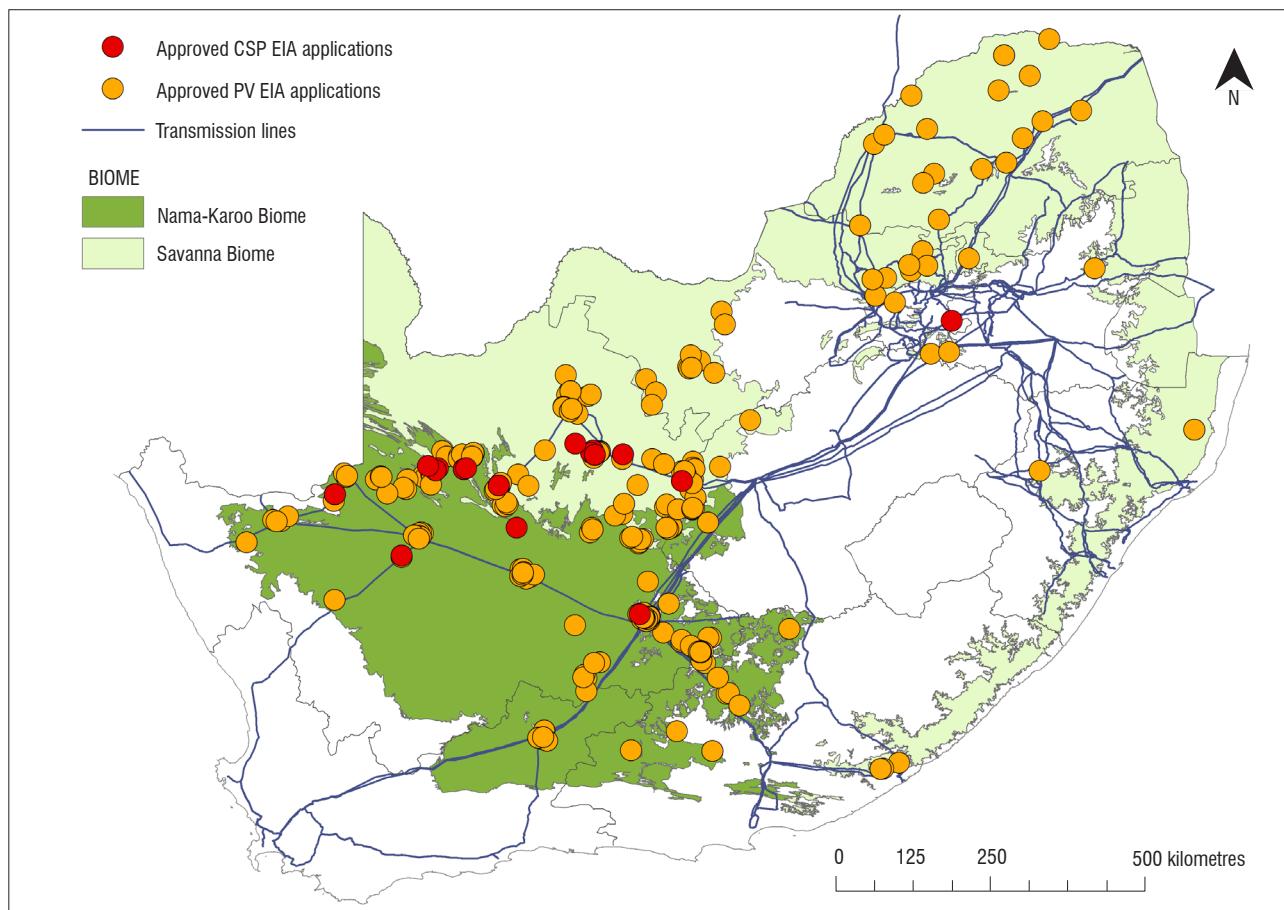


Figure 1: A map of the Nama-Karoo and Savanna Biomes. The red and orange areas show the distribution of approved environmental impact assessment (EIA) applications up to Round 3 of the Renewable Energy Independent Power Producers Procurement Programme for concentrating solar power (CSP) and photovoltaic (PV) developments, respectively. The national transmission grid is also shown.

Table 1: An explanation of ratings attributed to the severity and scale of impacts on different biophysical elements and solar power plant components

Rating	Severity of impact	Physical scale at which impact is incurred
0 ^a	Interviewee unsure or regarded specific impact as irrelevant	Interviewee unsure or regarded specific impact as irrelevant
1	None	None
2	Light impact	Point specific (e.g. <1 km radius)
3	Moderate impact	Local ecosystem (e.g. 1–20 km radius)
4	Moderate to severe impact	Regional (e.g. 20–200 km radius)
5	Severe impact	National (across provincial boundaries)

^aA score of zero was given by interviewees when they believed the impact was not relevant to the specific technology; these values were removed before calculating the median for each data subset.

Thematic analysis entails the discussion of categories and responses/codes within categories with the highest frequency of occurrence.

Quantitative analysis was limited to yes/no questions on the interview form and to a section in which ordinal data were obtained for ratings of the severity and physical scale of impacts during different stages of solar power developments. Ratings from zero to five were given, and their definitions are presented in Table 1. These ratings were given for the impacts of CSP and PV power plants on different biophysical elements and impacts from distinct solar power plant components on the biophysical environment as a collective.

Mann–Whitney U tests were used to compare ratings between the different stages of solar power development (construction and operation). The H_0 assumed no difference between different development stages for both comparisons of (1) the impacts on biophysical environment and (2) the impacts by different solar power plant components. The calculated p -values were compared at a probability level of 0.05 to test for statistical significance²⁴ in the ratings between the different development stages²⁵. All statistical analyses were done using the Microsoft Excel statistical plugin, XLSTAT®.²⁶

Site visits

Site visits were included to support the interview results within the context of the chosen biomes. The visited sites were widely distributed within these biomes, instead of focusing on impacts from individual power plants with limited distribution. Four PV plants and two CSP plants were visited in June 2016. The purpose of the site visits was to observe the status and environmental impacts of existing solar power developments through personal, on-site observations; interactive discussions; and photographic recording, where permitted. Hosts were informed of the purpose of the visit and agreed to share information accordingly. The agenda of a site visit included induction followed by a thorough tour of the development while discussions took place; each site visit lasted approximately 2–3 h per site. All notes that were made during the site visits were based on what was observed on site as well as on the experiences shared by site visit hosts. The locations of the power plants visited (Supplementary Figure 1) and descriptive information are included in the thesis on which this paper is based.¹⁸ Experience data obtained from six site visits to solar power plants were thus interpreted in the context of each unique power plant, and no additional analysis was done.

Spatial data collection

A spatial data set that summarises EIA applications for CSP and PV (hereafter, the EIA data set) was obtained from the South African Department of Environmental Affairs (DEA). The EIA data set was used as the primary reference for identifying areas where CSP and PV developments are taking place as well as which biomes are being impacted. To obtain a regional understanding of the impacts experienced from these developments, the EIA data set was used to investigate impacts on the landscape by using a combination of topic-specific spatial

data sets. The majority of these data sets are publicly available and/or obtained from researchers in government departments with permission (a summary of the spatial data sets is given in Supplementary Table 1).

All spatial and geographical data analyses were conducted using the geographical information systems software package ArcGIS®. Appropriate tools from ArcGIS were used to manipulate and combine data sets as well as to extract information that reveals insight into the impact of solar power developments across the Nama-Karoo and Savanna Biomes, using the solar power EIA application areas as the starting point.

Results

Interview results

Interviewees were categorised into seven expert groups (Table 2), which served as a representative sample of the greater knowledgeable, qualified and experienced population of experts.^{27,28} Not all interviewees disclosed their affiliations, but those who did were associated with one or more of the following entities: the Solar Thermal Energy Research Group at Stellenbosch University, BirdLife South Africa, Council for Scientific and Industrial Research, Eskom, the DEA, the South African National Energy Development Institute, World Wide Fund for Nature South Africa, Umvoto Africa (Pty) Ltd, the Plant Conservation Unit at the University of Cape Town, Simon Todd Consulting, Khi Solar One (Pty) Ltd (Abengoa), Golder Associates Africa (Pty) Ltd and Savannah Environmental (Pty) Ltd. The number of responses obtained from each expert group for CSP and PV is summarised in Table 2.

Table 2: A summary of the representation of the interviewees and the number of responses for the two different solar power technologies

Expert group	Concentrating solar power	Photovoltaic
Research entity	2	1
State utility	1	1
Designated authority	1	1
Registered environmental assessment practitioners	2	5
Representatives from independent power producers	1	1
Legislation/policy developers	1	1
Specialists	4	3

Through the coding and analyses of the interview data from all interviewees ($n=20$), responses were summarised into three prevailing themes (results for a fourth theme are excluded here).

Theme 1: Direct environmental impacts from solar power development

Interviewees were asked if they were aware of any adverse direct environmental impacts from solar power developments on the natural environment. To this question, 95% of interviewees ($n=19$) responded yes and 5% ($n=1$) responded no. Interviewees were then provided an opportunity to mention any known impacts related to solar power development. In total, 47 different impacts were coded in this section and grouped into seven biophysical impact categories, which are listed and described below:

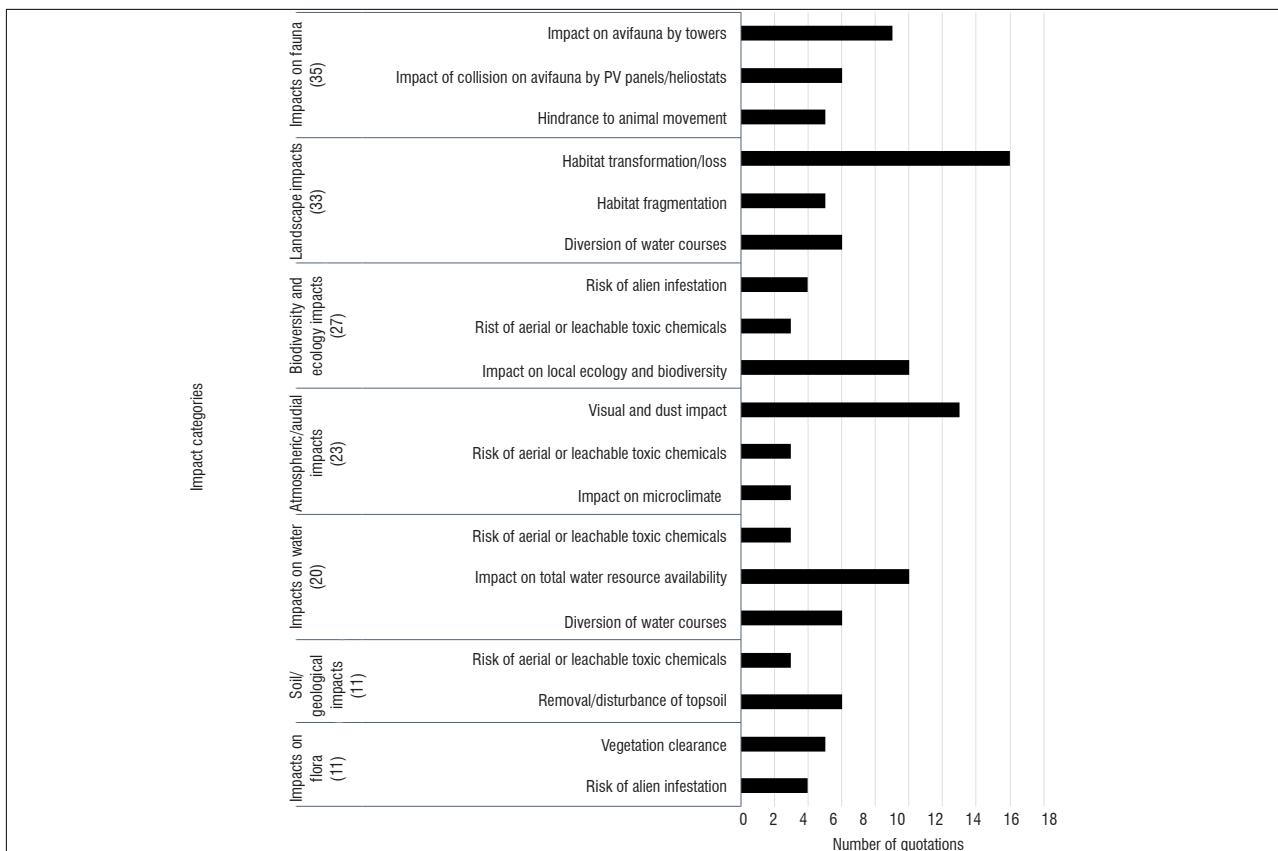
- Atmospheric and audial:** Impacts include changes in albedo, microclimate, audial impact, light pollution and visual impact.
- Biodiversity and ecology:** Impacts mentioned as *biodiversity* or *ecological* impacts and impacts with potential to have an effect on the dynamics between biological and physical ecological proxies.
- Fauna:** All mentioned impacts with specific relevance to animals.
- Flora:** All mentioned impacts with specific relevance to plants or vegetation.
- Landscape:** Impacts on the land which transcend the boundaries of a development or refer to the impact of a development on the landscape.
- Soil and/or geological impacts:** Impacts by solar power developments on soil and/or the underlying geology.
- Water:** Resource quality and size-related impacts for both surface- and groundwater resources.

The frequencies with which the most common impacts were mentioned within the seven biophysical impact categories are shown in Figure 2. The impact on fauna with a particular focus on avifauna was found to be the most prominent impact category associated with solar power developments, followed by landscape impact and impacts on biodiversity and ecology. Of a seemingly lower concern, impacts on flora and soil or geological impacts were mentioned less frequently during the interviews. Within the given impact categories, specific impacts such as habitat transformation or loss, visual and dust impacts and impact on total water resource availability were frequently recorded.

Although the impact on water resources was not as frequently coded as that of impacts on fauna, the long-term impact of multiple CSP projects on water availability was a recorded concern for at least three interviewees. Regarding this concern, an interviewee who manages a team of environmental assessment practitioners (EAPs) shared that the water in the Orange River is largely allocated to other uses, and current predictions are that the Orange River is less than 10 years away from not being able to meet further development needs.

Although general impacts of solar power were recorded, interviewees were at liberty to mention specific impacts related to CSP or PV. Of these, the impact on avifauna from central receiver towers and avifauna collision impacts with PV panels or heliostats from central receiver plants were found to be the most frequently mentioned. The risk of toxicity of thermal oil used in parabolic trough plants and PV panels was also mentioned.

Regarding the numerical ratings obtained from interviewees, several interviewees commented that the ratings they gave (based on the scores and definitions given in Table 2) included the assumption that the needed management actions or plans are in place, i.e. if management plans were not in place, a higher rating might have been given.



PV, photovoltaic

Figure 2: Summary of the seven biophysical impact categories in descending order by total number of quotations per category as indicated in brackets. Biophysical impacts that were mentioned more than twice per impact category are listed per impact category. A quotation represents a single event in which the specific impact was mentioned.

The only CSP biophysical environment impacts (shown in Supplementary Figure 2) rated as having higher severity during operation were those on surface water usage and quality; birdlife; and visual impairment. The median rating for the severity of impacts on all other biophysical elements during construction was equal to or higher than that of operation. Ratings for the physical scale of impacts on these biophysical elements were found to be similar during the two stages in almost all cases except for groundwater quality, for which the median rating was higher during construction. Interestingly, for visual impact, the range of ratings for the physical scale of the impact was found to be the highest (minimum = 2, maximum = 4) during operation, indicating the uncertainty of the actual visual impact during CSP plant operation. The mean ratings for PV developments (also shown in Supplementary Figure 2) also indicate that the severity of impacts is the same for both stages or is higher during construction, again with the exception of visual impact which received a higher rating for the operational stage. For both CSP and PV, the highest severity ratings were received for the impacts on soil, vegetation and increased dust during construction.

The medians and ranges (minimum to maximum) of the ratings obtained were calculated for the severity and physical scale of the impacts from the various power plant components of CSP and PV power plant development (shown in Supplementary Figure 3). For CSP, the median rating was 2 for the majority of the power plant components for both severity and physical scale, with the exception of roads and the solar field for which impact severity was rated higher during both stages. The range of ratings received for the physical scale of impacts by substations and/or power lines was the largest of all power plant components for both development stages. The findings for the impacts by the various components of PV developments are similar to those of CSP developments, with the exception of evaporation ponds and energy storage facilities which are irrelevant for PV. The severity and scale of impacts by roads, substations and/or power lines and the solar field of PV developments were found to be generally higher than those of other power plant components during the construction stage. Components such as energy storage facilities, offices or on-site accommodation and temporary structures or scaffolding had the narrowest rating ranges (minimum = 1, maximum = 2; or minimum = 2, maximum = 3), indicating that the impacts from these components are fairly contained to the development footprint.

Table 3 summarises the *p*-values for (1) biophysical elements and (2) power plant components, for which a significant difference was found between the construction and operational stages. Here we see differences in impact severity between these two stages on almost all biophysical components for PV developments. No significant difference was found for the rated physical scale of biophysical impacts between the two development stages for PV. However, strong evidence of a significant difference between development stages was found for at least the physical scale of dust- and vegetation-related impacts from CSP developments. The results for the ratings of the power plant components show little consistency between CSP and PV developments. The only commonality is a significant difference between the two development stages in the impact severity of temporary structures.

Theme 2: Feedback and experience with EIA process

Feedback and comments regarding the current EIA process and the coverage of impacts from solar power projects in EIAs are given in this theme. Many responses to this section included suggestions for amendments to the EIA process and/or suggestions for minimising and managing impacts; the latter are not included here.

Further to listing impacts related to solar power developments (as indicated in Theme 1), interviewees were asked whether they think EIAs sufficiently cover all impacts of a project on the biophysical environment; the majority of interviewees replied yes (*n*=11), one interviewee was too unsure to answer, and the rest replied no (*n*=8). Three interviewees explicitly stated that all impacts are covered in detail. Some interviewees furthered their response with a comment, and those comments mentioned more than once are summarised in Figure 3.

Although the majority of the interviewees agreed that the current EIA process sufficiently covers all impacts of solar power developments, two of the most common responses, indicated in Figure 3, highlight EIA implementation as a key concern. These comments suggested that the aspects generally omitted in the EIA process are 'cumulative impacts' and 'analysing topsoil and vegetation removal in depth'. Two specific examples of negligible assessment of cumulative biophysical impacts were atmospheric pollution and insufficiently investigated resource requirements (e.g. water) prior to the start of a development.

Table 3: The biophysical components and power plant components for which there was a significant difference in ratings between construction and operation. These are given for severity and physical scale for both concentrating solar power and photovoltaic. Significance (*p*-)values are provided in parentheses^a.

Rated subject	Concentrating solar power ^b		Photovoltaic ^c	
	Impacts on biophysical environment ^b	Impacts by different power plant components	Impacts on biophysical environment ^b	Impacts by different power plant components
Severity	Soil (0.033)	Waterworks (0.009) Temporary structures/scaffolding (0.019)	Soil (0.002)	Roads (0.039) Solar field (0.002) Offices/on-site accommodation (<0.001) Temporary structures/scaffolding (0.001)
	Mammals (<0.001)		Air quality (0.033)	
	Reptiles (<0.001)		Birdlife (0.010)	
	Vegetation (0.004)		Mammals (0.001)	
	Dust (0.003)		Reptiles (<0.001)	
Physical scale	Vegetation (0.011)	Power block/inverter block (0.028)	Temporary structures/scaffolding (0.039)	Temporary structures/scaffolding (0.039)
	Dust (0.009)	Energy storage facilities (<0.001)		

^aResults from Mann-Whitney *U* test, *n*=15, *p*-level (alpha level) used = 0.05.

^bIn all these cases, construction ratings were higher than those for operation.

^cWith the exception of the power block/inverter block, all the ratings were higher for construction than for operation.

An interviewee with prior practical experience of EIAs at solar power developments specifically mentioned the invasion of alien flora and the attraction of fauna to evaporation ponds as impacts that are not covered sufficiently during the operation stage of a power plant. Five interviewees said they think the impacts are described in detail for both development stages and/or no impacts are omitted in the EIAs.

Although EIA implementation was shown to be a concern, further comments indicate that, when properly implemented by competent EAPs and environmental consultancies, the EIA process is sufficient. Two respondents specifically commented that the legislation is sufficient, but implementation thereof and the follow-through from EIA to the environmental management plan from a legislative perspective during construction might be a weak area. An EAP from the Council for Scientific and Industrial Research commented that 'EAPs have a good understanding of impacts, but the assessment thereof is not reinforced by site visits'. An interviewee who has experience as an EAP and as a specialist commented positively on the thoroughness of the DEA to intervene when there is suspicion that an EIA may have been insufficiently completed.

An employer of an independent power developer with previous experience as an EAP described the central receiver plant, Khi Solar One, as a 'first child' from which many valuable lessons have been learnt. This response is similar to that from an employer at the DEA who openly stated that some of the impacts that might have been missed in the earlier projects' EIAs are a matter of 'learning as we go'.

During early stages of project planning and the EIA scoping phase, preliminary impacts of solar power plants are identified based on spatial biodiversity data sets. The quality and representativeness of these data sets are relevant to minimising impacts on the underlying biodiversity at a specific location. Questions about the biodiversity data sets used for this purpose were included in the interview form. Almost all interviewees (85%; $n=17$) claimed to know which data sets are being used for baseline studies prior to solar power developments. Although not all interviewees could recall the correct data set names, a trend was noticed in the frequently mentioned data set topics. The South African National Biodiversity Institute, the South African Department of Water Affairs and

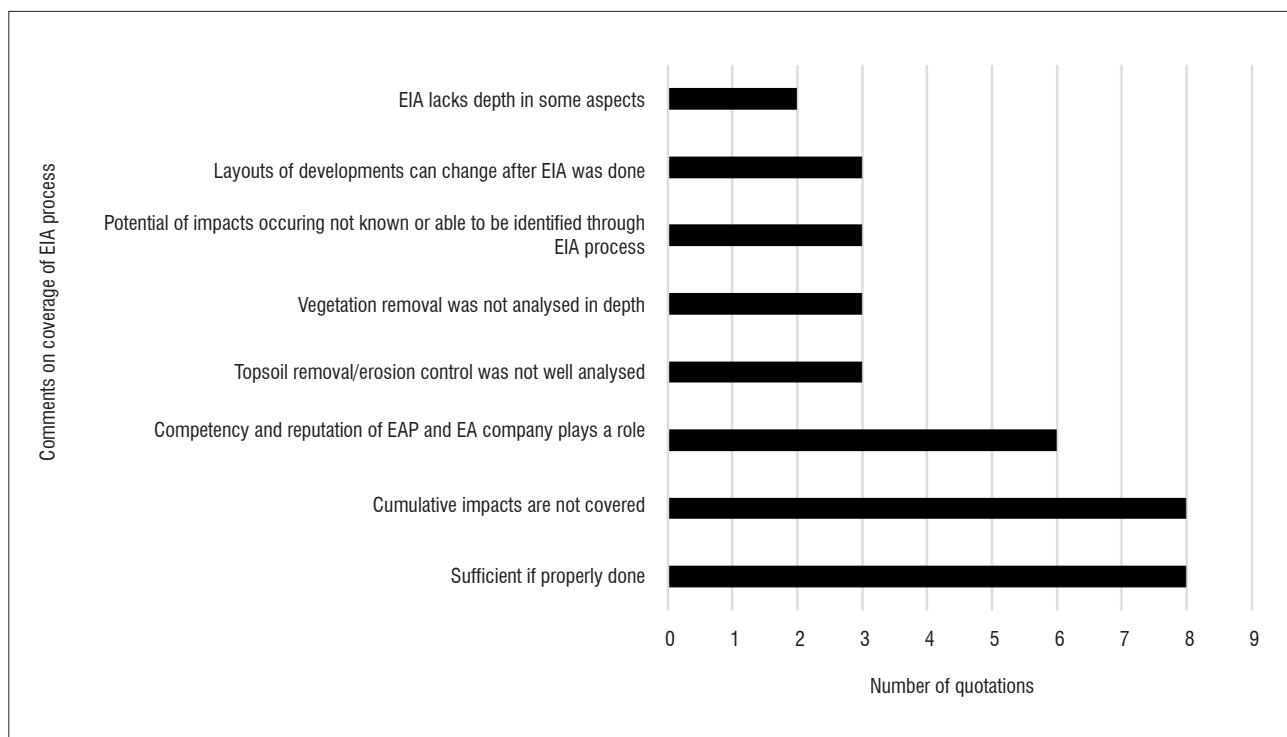
Sanitation, and the DEA were the three data sources mentioned most frequently. Interviewees who knew which data sets are being used were asked if existing field survey archives, spatial data sets and maps were sufficient to predict the impact of solar power developments in South Africa. To this question, 41% ($n=7$) replied yes and 59% ($n=10$) replied no. These responses were furthered by comments, amongst which the following three points were most frequently recorded:

- Current data sets and maps have insufficient resolution and/or are outdated, especially in arid regions, and need to be updated ($n=14$).
- Ground-truthing is necessary (verification of features represented in a spatial data set with field investigation) ($n=10$).
- A more strategic, tiered, systematic and cooperative approach is needed to keep data sets updated ($n=4$).

An EAP from the Council for Scientific and Industrial Research confirmed that '[m]uch of the information used in solar power EIAs has become generic, and should be more pertinent and relevant to the development site.' In a related comment, an interviewee with experience as a specialist in EIA application suggested that a mandatory requirement to submit field data collected for EIA purposes to the South African National Biodiversity Institute after a certain time period could aid in keeping national data sets updated.

Theme 3: Reference to SEA process

Throughout the interview process, mention was made of the SEA that was completed for wind and PV power. The feedback about the SEA process and the linkage to EIAs was limited to three specific points: (1) a perception that the outcomes of the first wind and solar SEA are not utilised to guide EIAs; (2) a view that the usefulness of the SEA is limited given that the distribution of renewable energy projects is in reality constrained by the existing transmission grid infrastructure; and (3) a suggestion that the SEA process must be improved and that CSP should be included in the new SEA being performed for PV and wind power.



EAP, environmental assessment practitioner; EA, environmental assessment

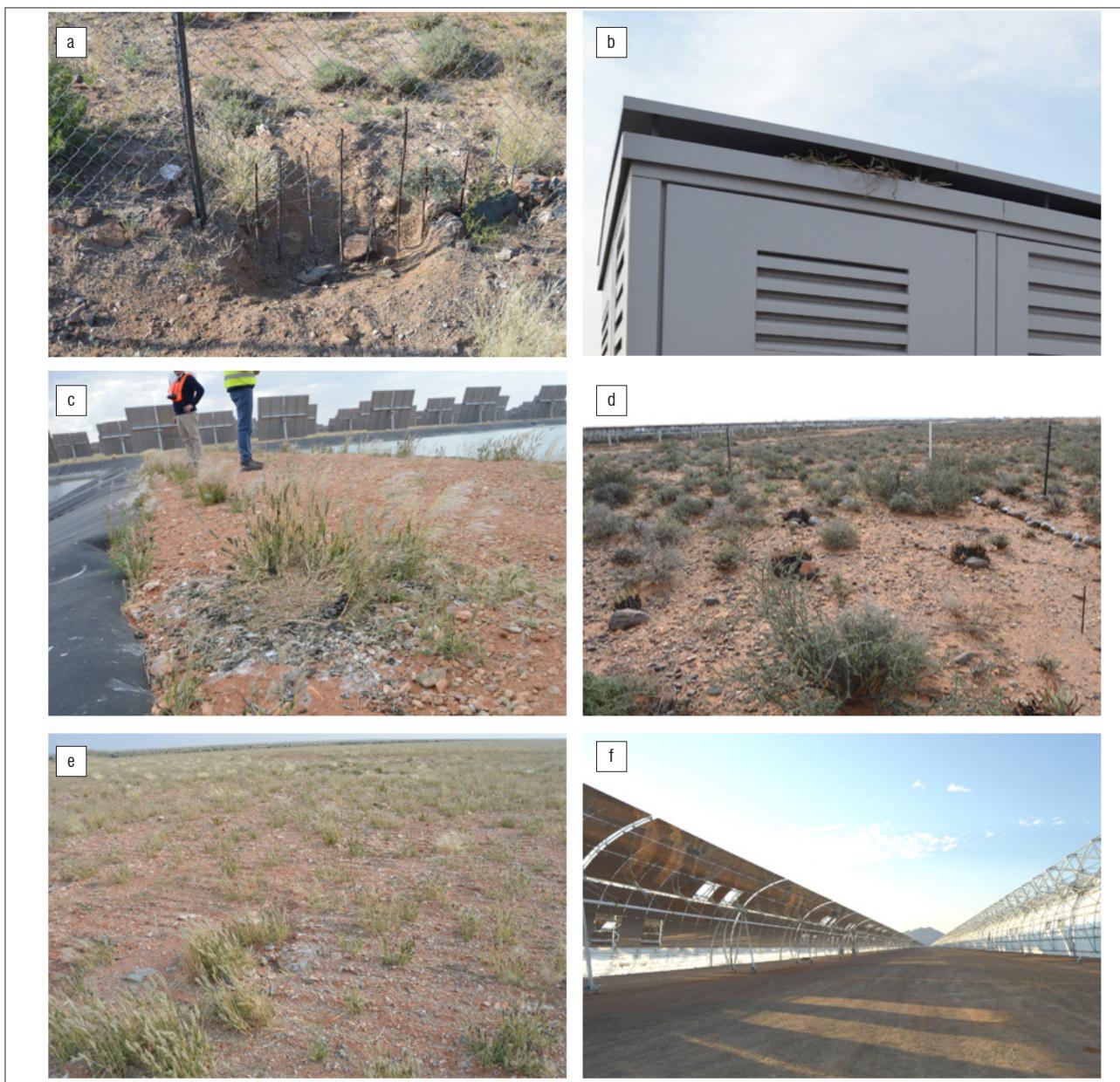
Figure 3: Summary of similar comments in response to the question of the sufficiency of the environmental impact assessment (EIA) process to capture all possible environmental impacts of a project.

Site visits

The observations, conversations and photographs recorded during the field trip were combined to offer a collection of in-field experience to support the results from the interview process. These findings largely correlate with the interview results, but site visits allowed for more specific insights regarding matters such as animal interactions and water impacts. With the exception of waste materials at sites still under construction and hydraulic fluid spills at Khi Solar One (Site 5), no unexpected adverse environmental impacts were observed during the site visits. Key findings from the site visits are summarised in Table 4 and supported by photographs of specific phenomena, presented in Figure 4, observed at different sites.

Spatial analysis

Areas under solar power development were extracted from the renewable energy EIA applications database for all projects up to the third round of the REIPPPP. Power plants with approved EIA applications were differentiated from those selected as preferred bidders of the REIPPPP. The projects with approved EIA applications comprise a larger surface area than those of the preferred bidders, highlighting how many EIAs have received approval in the study area. The preferred bidders are a limited number of projects committed for construction and operation and thus contribute to the cumulative direct environmental impacts. A subset of spatial data for solar power developments (all of which are approved solar power EIAs and preferred bidders) was used to quantify the cumulative affected area in the different biomes, vegetation types and other topic specific land uses.



Photos a-f: Justine Rudman

Figure 4: Photographs of key observations made during site visits (as reported in Table 4). (a) An example of how animals burrow underneath the development fence and an improvised attempt to keep them out at Site 3. (b) A nest in a small opening at the top of a transformer building at Site 4. (c) An empty nest at the edge of an evaporation pond at Site 5; some of the heliostats of the solar field are visible in the background. (d) One of the 'green areas' at Site 4 where six kraal aloes were relocated from the solar field prior to construction. (e) The topsoil embankment at Site 5 during the early stages of rehabilitation. (f) A row of parabolic troughs at Site 6 showing the cleared and compacted ground of the solar field.

Table 4: Selected key findings from the site visits per impact category. Findings are arranged as associated with either construction or operational activities.

Impact category	Observations and findings related to construction activities	Observations and findings related to operational activities
Impacts on fauna	<p>All sites were different in the way in which animal movement into and out of the development footprint was allowed or managed (see Figure 4a). Steenbok (<i>Raphicerus campestris</i>), rodents and snakes such as puff adders (<i>Bitis arietans</i>) were said to be common occurrences within development footprints.</p> <p>Birds nesting in power plant infrastructure was recorded at Sites 1–4 (see Figure 4b).</p> <p>A striped polecat (<i>Ictonyx striatus</i>) with rabies had been found near the temporary buildings of Site 4.</p>	<p>Rodents and aardvark (<i>Orycteropus afer</i>) were said to have gnawed on cables and wires at Sites 1 and 2.</p> <p>Birds had been observed in flight (e.g. falcons, eagles, flamingoes) and nesting (black-winged stilts) around and in the evaporation ponds of Sites 5 and 6 (see Figure 4c).</p> <p>Three flux-related bird fatalities had been recorded at Site 5 (central receiver facility).</p> <p>Two mammal drownings had occurred at Site 6: bat-eared fox (<i>Otocyon megalotis</i>) and an aardwolf (<i>Proteles cristata</i>).</p>
Impacts on flora	<p>Vegetation was removed in the solar fields of Sites 1, 5 and 6 but kept intact at the other sites.</p> <p>At Site 4, two green areas were established for the relocation of six kraal aloes (<i>Aloe claviflora</i>); see Figure 4d.</p>	<p>Where natural vegetation was kept intact, it was seen as an effective natural dust suppressor.</p> <p>At Site 2, the vegetation was kept intact and the development footprint was also still used by the landowner for grazing sheep.</p> <p>Vegetation regrowth was generally encouraged at all visited sites, except for Site 6 where vegetation in the solar field was considered a fire hazard.</p> <p>Alien species such as Mexican poppies (<i>Argemone mexicana</i>) and prosopis (<i>Prosopis juliflora</i>) were recorded at Sites 3 and 5, respectively.</p>
Soil/geological impacts	<p>Topsoil clearance had occurred in the solar fields of Sites 1, 5 and 6 but was rehabilitated at an embankment (see Figure 4e).</p> <p>Soil was impacted at all sites by the construction or installation of pylons, trenches and roads.</p>	<p>The entire solar field at Site 6 needed to be levelled on different terraces and the soil compacted (see Figure 4f).</p> <p>Depending on the stormwater management plan, erosion was a problem at some of the sites.</p>
Impacts on water	<p>The stormwater management plans were problematic at all sites and required revision.</p> <p>Water was predominantly used for dust suppression during construction.</p>	<p>No standard practice was found regarding the regularity of photovoltaic (PV) panel washing. This frequency ranged from once every 6 weeks to twice a year. Borehole water was used at Sites 1, 2 and 3, and the treatment varied between sites. No quantities were given for this activity.</p> <p>Sites 5 and 6 had annual water use permits of 300 000 m³ and 400 000 m³, respectively.</p>
Aerial/audial impacts	<p>Dust and noise were the only recorded aerial or audial impacts.</p> <p>During construction of Site 5, complaints were apparently received about the excessive dust at a small community 5 km away.</p>	<p>Dust during strong winds was found to be problematic at sites where vegetation and topsoil were removed. Eventual regrowth in the solar field assisted in this regard.</p>
Spills and waste	<p>Concrete spills were noticed at Site 4.</p> <p>Excessive waste (e.g. plastic, pallets and broken panels) and spills were recorded in the construction camp of Site 3 even though this area was supposed to have been rehabilitated.</p>	<p>Oil or hydraulic fluid spills were recorded to have occurred at four of the six visited sites. The containment and treatment of these varied per site.</p> <p>Lessons were learnt at Site 6 regarding salt spillage and leakage of the heat transfer fluid at the neighbouring Kaxu Parabolic trough plant.</p>

The nearest town and associated technology of the visited sites were as follows: Site 1, Touwsriver, concentrated PV; Site 2, Hanover, PV; Site 3, De Aar, PV; Site 4, Cotterton, PV; Site 5, Upington, CSP (central receiver); Site 6, Pofadder, CSP (parabolic trough). Full site visit notes and findings from the study scope are included in the thesis on which this paper is based.¹⁸

Table 5: The area per biome for which solar power environmental impact assessment (EIA) applications have been approved and the total area of projects that were preferred bidders throughout the first three rounds of the Renewable Energy Independent Power Producers Procurement Programme (REIPPPP)

Biome	Total area ^a of approved EIA applications per biome (km ²)	Percentage of the total area ^a with approved EIAs per biome	Total area with approved EIA application for preferred bidders ^b (km ²)	Percentage of total area for preferred bidders ^b
Nama-Karoo Biome	4455.0	49.3%	702.0	70.3%
Savanna Biome	2854.1	31.6%	228.2	22.9%
Grassland Biome	988.9	10.9%	16.7	1.7%
Fynbos Biome	257.0	2.8%	30.4	3.0%
Succulent Karoo Biome	234.4	2.6%	5.1	0.5%
Azonal Vegetation	176.4	1.9%	10.6	1.1%
Albany Thicket Biome	68.4	0.8%	–	–
Desert Biome	5.4	0.1%	5.4	0.5%
Indian Ocean Coastal Belt	0.9	0.01%	–	–
Total	9040.4	100%	998.4	100%

^aTotal area for all approved solar power developments throughout Rounds 1–3 of the REIPPPP. Not all of these projects continued on toward construction and operation.

^bA subset and smaller area than that of all approved EIAs.

Impacts on biomes and vegetation types

At the time of writing, projects had been approved for Round 3.5 and Round 4 of the REIPPPP, but the EIA data of these projects were not included in the latest data sets made available by the DEA at the time of analysis. Table 5 summarises the total area per biome for which (1) solar power EIA applications have been approved and (2) projects have been assigned to preferred bidders throughout the first three rounds of the REIPPPP. These results confirmed that the Nama-Karoo and Savanna Biomes have a clear majority proportion of area (70.32% and 22.85%, respectively) under development by preferred bidders' projects. In addition to the proportional impacts on biomes and vegetation types, land-cover data revealed that an estimated 95% of the area under solar power development was previously classified as 'low shrubland' and 'bare ground'.²⁹

The total area of land under solar power development per vegetation type³⁰ within the Nama-Karoo and Savanna Biomes was calculated. (A summary of the ten most affected vegetation types is presented in Supplementary Table 2). Indicating the total area per vegetation type in parentheses, the five most affected vegetation types by development footprints of preferred bidders were Bushmanland Arid Grassland (256.3 km²), Northern Upper Karoo (153.9 km²), Kalahari Karroid Shrubland (128.3 km²), Bushmanland Basin Shrubland (116.3 km²) and the Gordonia Duneveld (93.5 km²). The Bushmanland Arid Grassland and the Eastern Upper Karoo are the most targeted vegetation types for solar power development, and represent 26% and 15% of the preferred bidders' area, respectively. However, both types have a conservation status of 'least threatened'.³⁰

Impact on protected areas and biodiversity planning areas

The likelihood that new developments are located in already-existing protected areas was assumed to be less than that in areas not yet protected, although areas of ecological significance have already been earmarked through the National Protected Area Expansion Strategy (NPAES) last updated in 2010.³¹ NPAES areas were allocated and identified by South African National Parks as intact and unfragmented areas of high importance for ecological persistence and biodiversity representation and suitable for the creation or expansion of large protected areas.³¹ It was found that the only areas overlapping with NPAES focus areas were those with approved EIA applications for PV

developments and no preferred bidders. NPAES areas are shown in relation to the EIA applications areas in Figure 5.

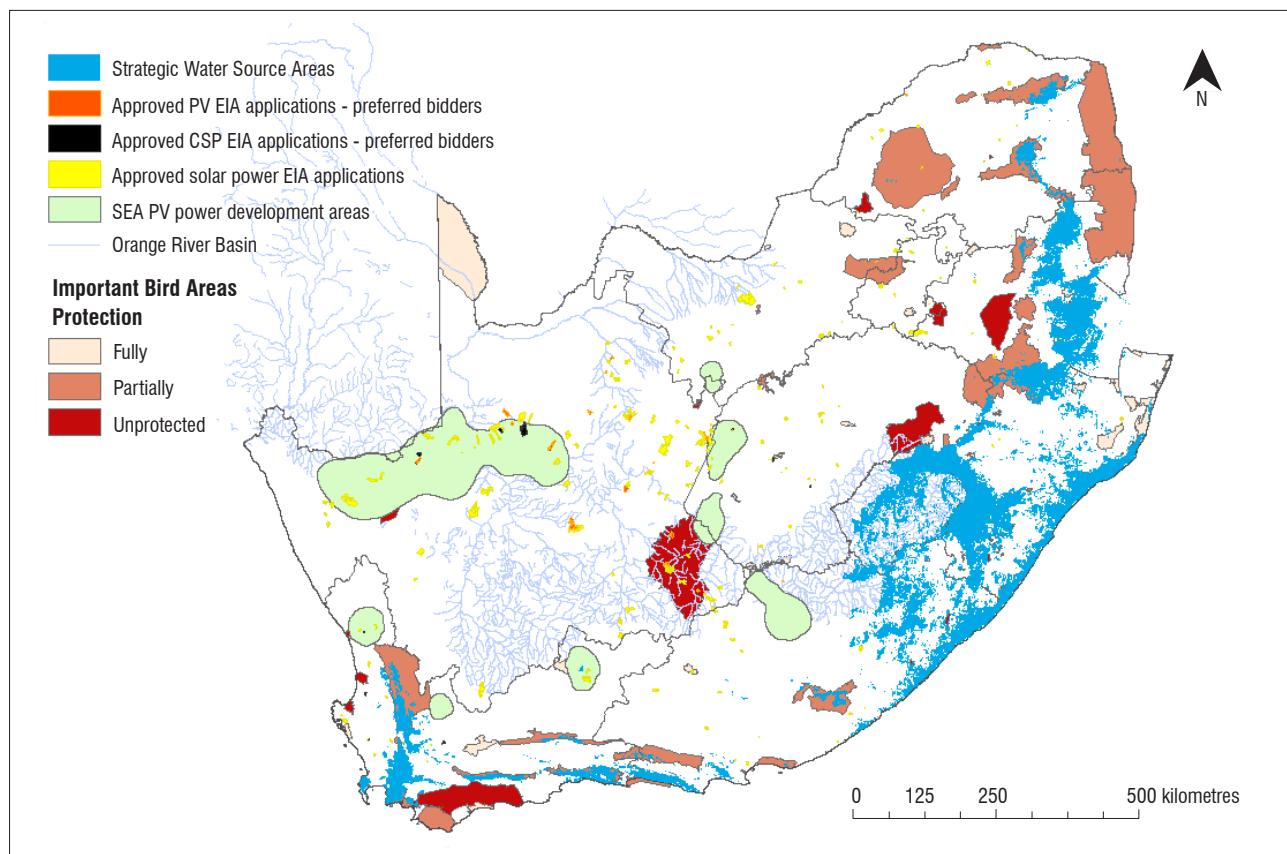
Important Bird Areas (IBAs), as identified by BirdLife South Africa³², are classified as 'unprotected', 'partially protected' or 'fully protected' (Figure 5). A subset of the IBAs, which are located within the Nama-Karoo and Savanna Biomes, was analysed to determine what proportion of these areas has approved solar EIA applications and preferred bidders' developments located within them. No preferred bidders are located within fully protected IBAs, but 7.6 km² and 168.8 km² of CSP and PV developments, respectively, are located in unprotected IBAs. The unprotected IBAs that were identified as being affected by PV preferred bidders' developments in the Northern Cape are the Platberg-Karoo Conservancy, Mattheus-Gat Conservation Area and, in the North West Province, the partially protected Magaliesberg IBA. The unprotected IBA, Mattheus-Gat Conservation area, was identified as being affected by CSP developments of preferred bidders. Proximity of EIA applications to IBAs was also calculated, and it was found that approximately 88% of solar power developments are more than 10 km away from any IBA.

Overlap of CSP development areas and strategic water source areas was investigated, but no overlap in location was found (Figure 5).

Lastly, the areas identified with top PV development potential throughout the National SEA for wind and PV power as REDZ³³ were analysed to determine how many approved EIA applications and preferred bidders' developments are located within these areas. Of the approved EIA applications for PV, 17% were located within the PV REDZ, and 8% of these projects were selected as preferred bidders. Of the total preferred bidders for PV developments, only 15% were located in a PV REDZ. The co-location of these areas with those of approved solar power EIA applications can be seen in Figure 5.

Footprint and distribution at increased capacity allocations

Capacity allocated to solar power projects in the REIPPPP represent approximately 19% and 18% of the allocations to PV (1899 MW of 9770 MW) and CSP (600 MW of 3300 MW) in the IRP Update Base Case scenario, respectively.^{3,34} Potential future affected areas can be calculated on the assumption that the land-use efficiency of these technologies remains constant and that the remaining 81% for PV and 82% for CSP will be located in similar and adjacent solar resource areas.



CSP, concentrating solar power; SEA, strategic environmental assessment

Figure 5: A map of South Africa showing national strategic water source areas and photovoltaic (PV) power Renewable Energy Development Zones. Areas with approved solar power environmental impact assessment (EIA) applications and the following protected or sensitive biodiversity areas are shown for the Nama-Karoo and Savanna Biomes only: Important Bird Areas, South Africa's Protected Areas and National Protected Areas Expansion Strategy focus areas.

The placement of the expected developments can be extrapolated to similar biophysical areas; that is, the variation of proportional distribution of projects within biomes may be minimised as indicated in Table 5. Limitations in these assumptions include unknown timing of when the area would be transformed, the unknown extent of transmission grid expansion and the assumption of consistent land-use efficiency for both CSP and PV projects. This extrapolation and calculation revealed that potential future areas under solar power development per biome will likely be relatively low, with the expected transformed footprint by 2030 at approximately 1.57% in the Nama-Karoo and 0.31% in the Savanna Biome.

Discussion

Studies of public perception and attitudes towards renewable energy technologies are present in the literature³⁵⁻³⁷, but experience of these technologies from professionals in the field is not as easy to find. Spatial analysis has been used for questions related to land-use efficiency of solar power developments^{38,39}, but little work exists apart from the work of Fluri⁴⁰ and the guidance provided through the identification of REDZ in the SEA completed for wind and PV power³³. This study is an attempt at identifying the direct impacts of South African solar power developments.

Synthesis of findings

Most recorded responses from the interviews regarding the various impact categories, such as impact on avifauna and water consumption, are similar to the findings presented in a recent review paper by Hernandez et al.¹³ and a more detailed earlier overview presented by Tsoutsos et al.¹⁰ Numerical ratings further supported the findings from the content analysis (e.g. high median rating for the impact severity

on birdlife and water usage during operation by CSP developments). Impacts similar to those assessed by Turney and Fthenakis¹² – such as impacts to wildlife, wildlife habitat and geohydrological resources – were also recorded. The interview results contributed valuable insights during this first study within the Nama-Karoo and Savanna Biomes. However, the method and scope of this study provides a starting point to a multitude of potential future studies with deeper and narrower scopes in the same study area. Because of the widely acknowledged reality of these impacts, the study arguably provides an opportunity for context-specific description and management guidelines of these impacts within an ecological context of a development.

The concern for the impact of CSP on water resource availability together with the comment that cumulative impacts are not covered sufficiently in the EIA process highlights the need for strategic planning of water resource allocation to CSP. This need is specifically relevant around the Orange River Basin where water supply is known to be limited⁴¹; strategic planning of this kind is in accordance with the term at which power purchase agreements are signed with developers. Early acknowledgement of a possible risk of over-allocation of water resources provides a starting point for proper description and management of these impacts resulting from CSP developments around the vicinity of the Orange River.

In addition to the added value derived from observing impacts 'on-the-ground' during the site visits, the positive attitudes and practice at some sites were unexpected, pleasant findings. As mirrored in Theme 1 of the interview results, dust impact associated with vegetation and topsoil removal in the solar field was regarded as a major impact within the immediate environment of a solar power plant. Impacts associated with

fauna, flora and water mostly appeared to be well planned for during the EIA phase, and when unanticipated impacts occurred – such as the nesting of birds in structures or buildings and the attraction of species to evaporation ponds – impromptu actions were implemented. These observations correlate with feedback on the coverage of the EIA process in which it was highlighted that the attraction of certain species to development footprints is not sufficiently covered in the EIA process. Although monitoring data collection is needed to confirm, this scenario is similar to the 'mega-trap' concept described by Kagan et al.¹⁵, in which solar power developments act as an ecological trap as a result of the creation of favourable areas which offer reproductive and foraging advances within the surrounding ecosystem.⁴²

Exploring the impacts on fauna and habitat transformation in general combined with the feedback regarding the quality of biodiversity data sets used in the early stages of the EIA process justifies a clear concern, but it also creates a timeous opportunity. The timing in South Africa is ideal, considering that renewable energy developments are still in the early stages and there is a high potential to gain experience in these impacts. The opportunity lies in focusing on mapping the biodiversity, including specialist studies in planned development areas and developing best-practice guidelines that can proactively avoid impacts on species diversity as well as take into account seasonal migration of avifauna. The suggestion from interviewees that there be a strategic, cooperative approach to keeping these data updated is thus a relevant one. Furthermore, there may be significant potential to update data sets using the in-field data, which gets collected as part of the EIA process.

In addition to ensuring the use of representative data sets to avoid adverse impacts, identified direct impacts would be best supported with species-specific monitoring data to determine specific impact(s) on avifauna from solar power development. No such data were published or available to the public at the time of writing. Such monitoring data would support the impacts previously mentioned that are specific to CSP and PV, of which 'impacts on avifauna by CSP towers' and 'collision impact by PV panels or heliostats' were the most popular; these impacts are reflected in international studies as well.^{8,14,15} Furthermore, monitoring data of this nature could inform management and mitigation measures, regulations and the establishment of IBAs as has been done by BirdLife South Africa.^{32,43} However, no evidence based on South African data was available for any of these impacts as peer-reviewed studies.

Landscape outlook

The various environmental impacts associated with a single solar power development could be insignificant, but the landscape-wide accumulation of impacts is a possible concern. The findings from the interviews and site visits enabled us to identify a spectrum of impacts that occur at the solar power plant level; the spatial analysis assisted in investigating the distribution of these impacts across the Nama-Karoo and Savanna Biomes. All three of these data collection techniques and the findings of impacts on fauna, biodiversity and ecology, and landscape transformation link back to the importance of appropriate siting and mapping. The extreme importance of representative biodiversity data should thus be heavily stressed.^{44,45} Missing data risks putting in danger individuals and/or populations of species in ecosystems with limited geographical distributions as well as affecting the alpha and even beta diversity.⁴⁶

An aspect weakly investigated in this paper is the assessment of the impacts from support infrastructure (e.g. power lines and access roads), which could result in widespread habitat transformation. Including analysis of such infrastructure spatial data, in combination with real incident data, would give further insight to the expected ecosystem-level landscape-scale impacts of supporting transport and access infrastructure associated with solar power plants.^{47,48}

The motivation behind the wind and PV SEA is good in that it aims to identify areas as REDZ where significant adverse environmental impacts are minimised. Given that the SEA is based on national and local biodiversity data sets³³, maintaining updated data sets is critical to guide proper location of solar power plants. Thus, if the location of new power plants are limited to the SEA-identified REDZ, one should be confident

that significant adverse landscape impacts are unlikely to occur from the collective location of these power plants. Subsequently, the risk from cumulative impacts outside of the REDZ should theoretically be higher as such areas were deemed unsuitable to ensure development without significant adverse impacts through the SEA process. In addition, and in contrast to EIAs which are a legislative requirement, the findings of a SEA are primarily used to guide development and expedite environmental authorisations in predetermined areas. According to Therivel⁴⁹, the ultimate aim of a SEA is 'to help protect the environment and promote sustainability'. However, considering that only 15% of PV projects are located within the REDZ, alongside the three points of feedback on SEA, throws into question the effectiveness of a SEA in fulfilling this aim. Furthermore, interviewees confirmed that an explanation is needed as to why CSP was not included in the SEA.

The total portion of affected area in the Nama-Karoo and Savanna Biomes under current REIPPPP projects is relatively low and was found to remain low even under a four-fold increase of solar generation capacity. Guided by the solar power capacity allocation in the IRP Update Base Case scenario, an approximated combined area representing 1.88% of the Nama-Karoo and Savanna Biomes is expected to be under solar power development by 2030, according to known projections. However, refinement is needed on the assumptions that were used for this analysis for a more reliable estimate. Similar projections and calculations on expected future solar power development would also assist with the consideration of trade-offs of renewable energy resources as a provisioning ecosystem service compared to the impact of such developments on other supporting ecosystem services.⁵⁰

Conclusion

In light of available energy plans and policy documents, solar power developments are expected to increase in the arid biomes of South Africa. The increase of these developments in these arid areas provides a novel research field of which the findings can be used to inform future development. The relatively small footprints attributed to the two studied solar power technologies deployed in the respective biomes and the current EIA process seem to limit the severity of impacts as experienced and measured at the time of investigation. In addition, the most significant impacts appear to be associated with the construction stage of a development, which represents approximately 10% of the lifespan of a solar power plant. Experience from existing power plants suggests that certain impacts remain excluded from the EIA process, of which cumulative impacts are a key concern and need to be addressed. All aspects considered, a key recommendation is the collection and dissemination of impact monitoring data at multiple solar power plants to feed back into strategic planning for future project siting, which would increase knowledge of solar power development related impacts. This initial exploration provides several potential starting points for the collection of such data and further studies in the arid biomes.

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Authors' contributions

J.R.: study/project design; data collection; data analyses; conceptualisation and compilation of manuscript. P.G.: study/project supervision; assistance with data presentation; manuscript draft revision. K.J.E.: study/project supervision; manuscript draft revision.

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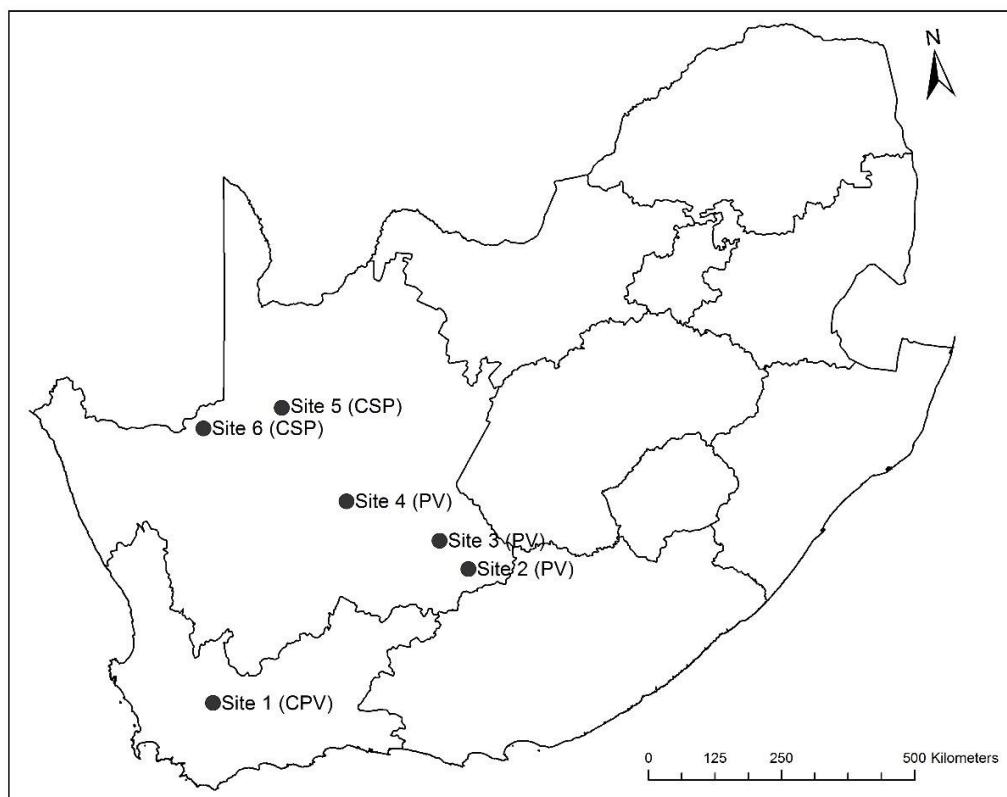


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CPV, concentrated photovoltaic; PV, photovoltaic; CSP, concentrating solar power

Figure 1: The locations of the solar developments visited during the field trip. At the time of the site visits, Sites 4 and 6 were under construction, Sites 1, 2 and 3 were in full or partial operation, and Site 5 was being commissioned.

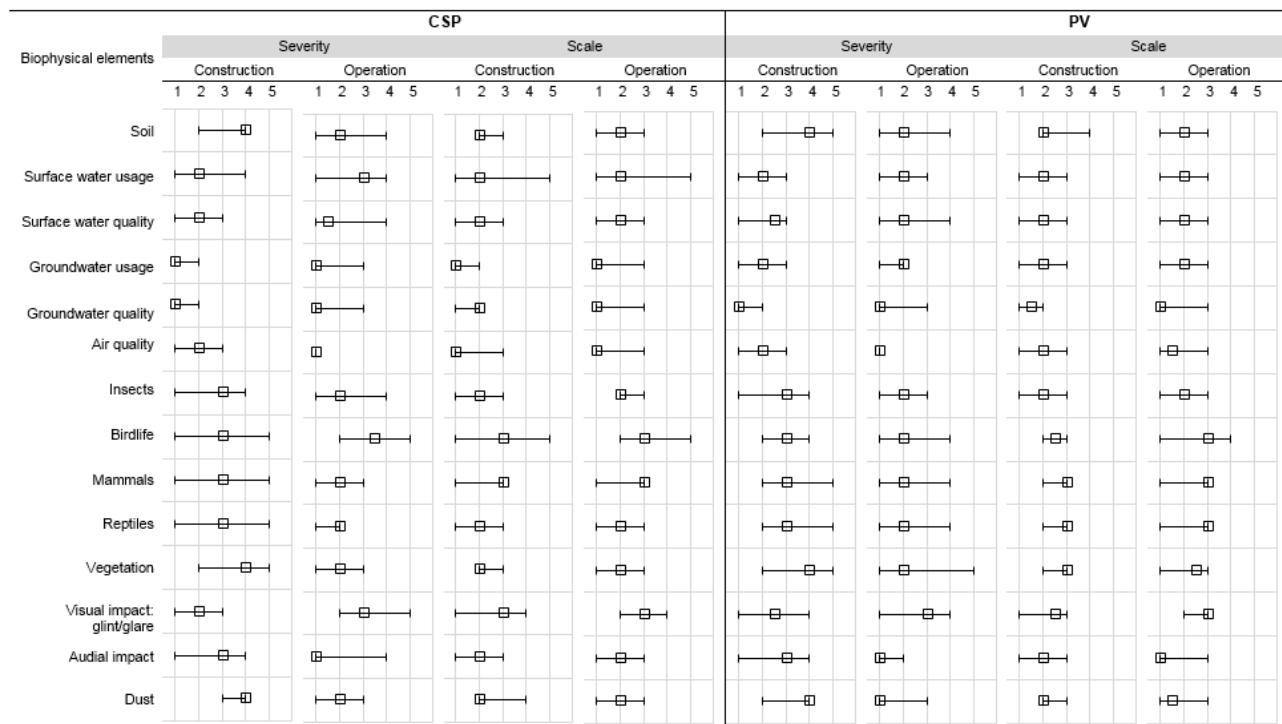


Figure 2: The median and range (minimum to maximum) of ratings obtained for the severity and physical scale of impacts on various biophysical elements during construction and operation of concentrating solar power (CSP; $n=10$) and photovoltaic (PV; $n=13$) developments.

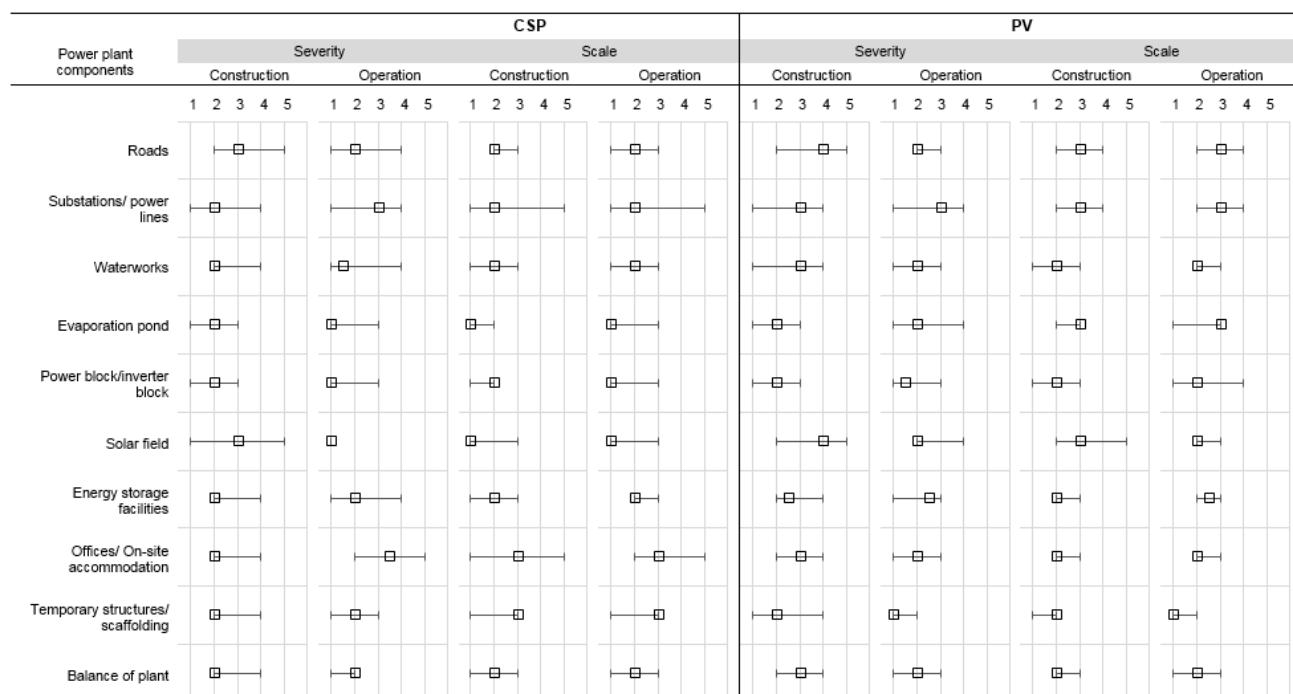


Figure 3: The median and range (minimum to maximum) of ratings obtained for the severity and physical scale of impacts from various power plant components during construction and operation of concentrating solar power (CSP; $n=10$) and photovoltaic (PV; $n=13$) developments.

Table 1: A summary of the spatial data sets used for the spatial analysis of the footprint of solar power developments with a short description of each and the respective sources

Title of data set and year published	Source and reference
Description	
South African Renewable Energy EIA Application Database Q1 2016	
Project-level spatial data regarding environmental impact assessment (EIA) applications received for renewable energy developments.	1
National Vegetation Map (Vegmap) 2012	
An update to the 2006 version of the same spatial data set, describing floristically based vegetation units of South Africa, Lesotho and Swaziland.	2
South African Protected Areas Data Base Q1 2016	
Spatial data set of the conservation estate of South Africa, including both formally protected areas and areas with a lower level of protection.	3
Important Bird Areas 2015	
The Important Bird Area Programme is an International BirdLife programme to conserve important bird habitats. These areas are determined based on guidelines and criteria for species occurring in the area.	4
National Protected Areas Expansion Strategy: Focus areas for protected area expansion 2010	
Areas identified through a systematic biodiversity planning process to determine large, unfragmented and intact areas very important for ecological persistence and biodiversity presentation.	5
Strategic Water Source Areas 2013	
Strategic water source areas are identified for South Africa, Lesotho and Swaziland and are areas which supply a disproportionate amount of annual run-off to geographical regions of interest.	6
DEA Solar PV SEA Phase 1 Study Areas	
Renewable energy development zones developed to indicate areas with the highest photovoltaic (PV) power development potential. These areas were identified taking into account the following: network losses and capacity, social need, solar resource availability, protected areas, special land uses, and geographical features such as slope.	7

Table 2: The 10 most impacted vegetation types⁸ by approved solar power environmental impact assessment (EIA) applications and projects, which were preferred bidders throughout the first three rounds of the Renewable Energy Independent Power Producers Procurement Programme. Vegetation types are primarily grouped according to the associated bioregions and secondarily from large to small for total area of approved EIA applications for preferred bidders.

Vegetation type	Total area of approved solar power project EIA applications (km ²)		Associated bioregion
	All	Preferred bidders	
Bushmanland Arid Grassland	1159.5	256.3	Bushmanland bioregion (NK)
Kalahari Karroid Shrubland	529.4	128.3	Bushmanland bioregion (NK)
Bushmanland Basin Shrubland	1161.5	116.4	Bushmanland bioregion (NK)
Lower Gariep Broken Veld	87.6	14.5	Bushmanland bioregion (NK)
Northern Upper Karoo	643.3	153.9	Upper Karoo Bioregion (NK)
Eastern Upper Karoo	430.3	19.7	Upper Karoo Bioregion (NK)
Gordonia Duneveld	675.0	93.5	Kalahari Duneveld Bioregion (S)
Kimberley Thornveld	489.7	39.9	Eastern Kalahari Bushveld Bioregion (S)
Olifantshoek Plains Thornveld	211.8	37.7	Eastern Kalahari Bushveld Bioregion (S)
Kathu Bushveld	152.2	34.4	Eastern Kalahari Bushveld Bioregion (S)

NK, *Nama-Karoo*; S, *Savanna*

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