

A climate-based model for malaria prediction in southeastern Africa

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Malaria is a major health problem in southeastern Africa. In this study, we explore relationships between malaria and regional climate. Malaria incidence data from the eastern border of South Africa and Swaziland over a 33-year period were de-trended and used to identify epidemics. Composite weather maps were then constructed for seasons with high and low malaria incidence and evaluated. Surface-air temperature rose over the east coast during malaria epidemics and rainfall doubled over a large area including Swaziland, Zimbabwe and southern Mozambique. Remote climatic signals that anticipated malaria epidemics were found in composite analyses, and a statistical model was developed for prediction. Upper-level winds over the Western Pacific were found to predict 57% of malaria variance at a lead time of 6 months.

Introduction

Much of tropical Africa is prone to debilitating malaria epidemics; efforts to control the disease have had mixed success. In many regions where malaria was previously eradicated, the disease has made a comeback because of adaptation by the mosquito-borne parasite to drugs and insecticide.¹ While sub-Saharan Africa carries the highest per capita burden of disease in the world, malaria is the single greatest cause of mortality. Of global deaths due to malaria, 90% occur in sub-Saharan Africa—one million annually, mostly children aged 2–6 years.² In southeastern Africa, malaria is the cause of death of more than one in five young children.² It places substantial demands on Africa's fragile healthcare infrastructure. In malaria-endemic countries, as many as one-third of clinic visits and hospital admissions are for malaria. No country in Africa has shown a decline in malaria incidence since the 1980s.^{3,4} However, it should be noted that most health monitoring systems have difficulty in reliably tracking malaria incidence and mortality. The start of intensified mosquito control efforts in the 1990s coincided with rising malaria mortality due to drug resistance and HIV infection, meaning that without these efforts the situation might have been substantially worse.²

The length of the malaria transmission season is inversely related to the epidemic risk; thus, as the season gets shorter, the probability of epidemics increases. In Africa, the areas with a shorter transmission season tend to be located across the Sahelian belt, down through the Horn of Africa into east Africa and throughout southern Africa south of the Zambezi River valley.^{5,6} Estimates of the population at risk of malaria in Africa vary depending on the method and data used. Snow⁷ estimated 74 million in 1995, whereas a World Health Organization (WHO) study using United Nations population data for 2001 gave an estimate of 124.7 million, representing about 20% of the continent's population.

Malaria epidemics are influenced by local and remote, climatic and non-climatic factors. Mosquito (vector) abundance and survival rate, the duration of parasite incubation, the density of

infected human hosts and the coverage of wetlands determine the risk and distribution of malaria infection.^{8,9} Epidemics may be exacerbated by social upheaval, population migration and economic instability. A breakdown in healthcare systems and mosquito control activities allows malaria to recover for several years. The impact of malaria epidemics is greatest after a period of famine, when household income is depleted and communities are especially vulnerable.¹⁰

In semi-arid zones, malaria epidemics tend to follow warm, rainy spells that favour increased mosquito density and survival, and thus parasite transmission.^{2,11–14} Changes in local climate are themselves driven by remote, multi-year phenomena such as El Niño–Southern Oscillations (ENSO).^{15,16} Since malaria transmission is constrained by climate (see, for example, www.rbm.who.int/), epidemic forecasts over southeastern Africa are a possibility. In the study reported here, potentially useful predictors are revealed by consideration of temporal and spatial relationships between climate (cause) and malaria (effect). Thirty-three years of malaria data for South Africa and Swaziland give us a unique opportunity to examine these links.

Data and methods

The data used in this study derive from two sources. Monthly climate data were drawn from the U.S. National Center for Environmental Prediction (NCEP) website (www.cdc.noaa.gov/composites/). Annual malaria incidence data for South Africa and Swaziland over 33 years, and monthly malaria incidence data for Zimbabwe for 14 years, were provided by the South African Medical Research Council (MRC). These data are discussed by Craig *et al.*⁹

Meteorological data from NCEP are originally observed at the land surface, over the oceans by ships and in the upper air by balloons and aircraft. Satellites fill in the gaps by measuring widespread radiance patterns in a number of specific frequencies. All data were assimilated by a numerical weather forecast model that interpolated the observations to a 'best fit'.¹⁷ The data used here comprise surface air temperature, wind speed, pressure, humidity and precipitation. Sea-surface temperature (SST) fields were analysed over the oceans,¹⁸ and upper air fields at 500-hPa (5 km) geopotential height and 200-hPa (12 km) wind were considered. Rainfall measurements from satellite and surface stations (CDC merged analysis precipitation) start in 1979, whereas the study considers 33 years of health data from 1970 to 2002. Rainfall estimates from the NCEP model were therefore included so as to fill the 1970–1978 gap. The two data sets closely correspond.

Malaria incidence data were from the MRC for eastern South Africa and Swaziland for the period from 1970 to 2002. The system of data collection is stable, relatively accurate and recorded by municipal district. The data were found to be reliable in earlier studies.¹³ More general information on malaria comes from the WHO. Data were requested from the respective health departments in countries of the region; however, most time series were found to be short or intermittent.

To create the malaria time series, the annual number of cases for Swaziland and eastern South Africa was obtained and divided by the respective populations. The two time series were averaged in equal proportion and standardized departures were calculated. An upward trend remained, however, due to drug resistance and secondary factors such as global warming.^{19–21} De-trending was therefore performed using a standard statistical package, in a manner consistent with demographic, economic, natural resource, and population-related research. The aim was to produce a stable time series in which the beginning and end of the record were quantitatively similar. An exponential trend was applied to the malaria time series and residual values were extracted to represent the environmentally sensitive component.

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We employed a 'targeted' approach to reveal the climatic conditions that related to the malaria time series. High and low seasons of malaria incidence were identified, based on the combined de-trended malaria index. High years were taken to be 1978, 1985, 1988, 1989, 1999 and 2000; the corresponding low incidence years were 1973, 1982, 1992, 1993, 1995 and 2002 (years were labelled according to the end of the malaria season: January–April). Our search for climatic influences on malaria began with the mapping of average climate fields. Composite difference maps were calculated by subtracting means of atmospheric field data for the six 'low' seasons from the six 'high' seasons. The resultant maps point to key areas where significant differences occurred over large areas of about 1000×1000 km. Next, the time series of the field variable in the key area was extracted and the composite seasonal trends were plotted to see if 'high' and 'low' signals were maintained in a stable fashion at increasing lead times (for example, from the January–April malaria season back in time to the preceding July–September 'predictor' season). Temporal instabilities or a lack of coherence between cases caused the predictor to be rejected.

This method is a simple step-wise screening process as shown in Fig. 1. Input variables derive from composite differences with respect to the malaria season, whereas multivariate linear regression yields a hindcast fit that requires validation. A useful statistical tool is wavelet analysis²² to determine the degree to which cycles in the malaria, rainfall and climatic predictors reflect or lag each other. Continuous wavelet transform (CWT) was also used to filter the monthly time series to isolate particular oscillations. Here we filter the data to retain cycles with periods from 1.5 to 16 years.

Results

Temporal trends

The annual cycle was considered using monthly mean malaria cases and local climatic data averaged over the region ($16\text{--}23^{\circ}\text{S}$, $22\text{--}35^{\circ}\text{E}$). Malaria incidence rose from December to March, followed by a sharp decline. The winter and spring months, June to October, exhibit a negligible number of cases. Surface temperatures $<14^{\circ}\text{C}$ and wind speeds $>4\text{ m s}^{-1}$ hinder mosquito survival and incubation of the parasite then.²³ While rainfall and temperature peak in summer (December to March), wind is minimal in autumn (March to May). Area-averaged rainfall in months with 'high' malaria cases reached 80 mm, whereas in 'low' months it was nearer 50 mm. The human pool of infection takes time to build each year. Hence, the malaria peak lags rainfall by about two months (Fig. 2). The de-trended malaria incidence time series that is the basis for subsequent analysis of climatic signals is illustrated in Fig. 3. It shows an alternation of low and high periods of malaria incidence with a cycle of 6–10 years. An epidemic typically occurs at the beginning of a cycle, followed by a slow downward trend to a minimum, followed by a sudden upswing. Although the malaria data come from a confined area, an analysis of regional climatic parameters for high and low malaria years indicates that a much wider area is influenced (for instance, $16\text{--}23^{\circ}\text{S}$, $22\text{--}35^{\circ}\text{E}$). Rainfall displays a large signal-to-noise ratio, whereas other local climatic elements show rather insignificant changes.

Composite spatial patterns: local impacts

The composite difference pattern for rainfall is shown in Fig. 4. There is almost twice as much rainfall over Zimbabwe and southern Mozambique in a high season than in a low season. The pattern aligns to the south of the Zambezi River valley and covers an area greater than 1000×1000 km. Of interest is a region of below-normal rainfall over Madagascar of reduced intensity. Both areas of above- and below-normal rainfall exhibit

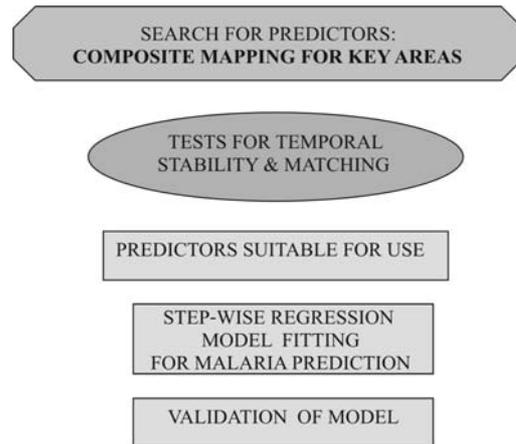


Fig. 1. Flow diagram of step-wise method employed to optimize malaria prediction.

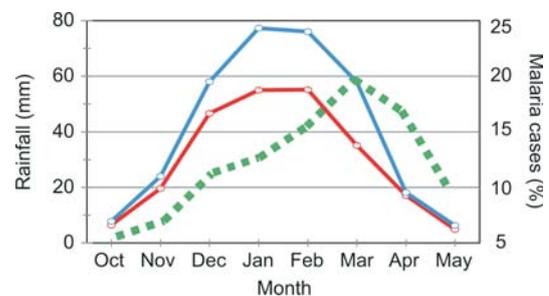


Fig. 2. Seasonal cycle of malaria (dashed) and rainfall in high (blue) and low (red) years in southeastern Africa ($16\text{--}23^{\circ}\text{S}$, $22\text{--}35^{\circ}\text{E}$).

a north–south orientation.

Higher surface temperatures (Fig. 5a) and northeasterly winds were found over the east coast and Mozambique Channel with respect to high malaria seasons (Fig. 5b). The onshore flux of tropical air is drawn into a cyclonic low pressure cell situated over southern Mozambique, Zimbabwe and northeast South Africa. The warm moist air rises over the eastern escarpment, cools and precipitates. When a season is dominated by such a circulation, communities in southeastern Africa experience an increase in malaria. The lack of a temperature signal over the malaria impact zone (warmer to the east and cooler to the west) may explain why some researchers fail to find the expected relationship.²⁴

Remote effects: the oceans

When searching for remote influences on African climate, oceanic signals have proved useful due to atmospheric coupling that shifts the rainfall regimes and thus malaria incidence.³ Mapping the composite SST differences before the season, we found significant areas in the east and southwest Atlantic and Central Pacific. We also considered sea-level pressure (SLP) and

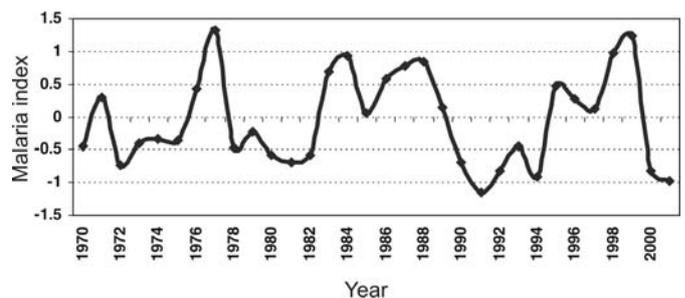


Fig. 3. De-trended malaria data for southeastern Africa, based on an average for eastern South Africa and Swaziland.

found significant differences before the season over the South Atlantic and South Pacific. Following the designation of these signals in key areas, we considered the composite time series to assess stability in the previous year, and the wavelet filtered time series for spectral (cyclical) matching. From this work, five potential predictors were identified: East Atlantic SST, South-West Atlantic SST, equatorial Pacific SST, New Zealand SLP, and South Atlantic SLP.

Warm SST over the equatorial east Atlantic (Fig. 6a) are driven by a zonal overturning circulation.²⁵ This SST index acts as a stable indicator in the precursor year (Fig. 6b). Warming of the Atlantic Ocean near Angola and associated westerly winds anticipate a Pacific La Niña.²⁶ A relaxation of the St Helena anticyclone as a result of changes in SLP over the South Atlantic, may help shift rainy weather from Madagascar to southeastern Africa.

Remote effects: the upper atmosphere

The westerly jet stream is located at the boundary between the warm tropics and the cold polar air on either side of the equator near 40° latitude. At times, the jet stream develops bulges known as standing waves, in response to heating anomalies in the tropics. These waves can channel areas of high rainfall toward certain longitudes and may thus have an influence on malaria. To reveal the influence of the upper circulation, we analysed the 200-hPa (~12 km) wind and 500-hPa (~5 km) geopotential height differences.

Upper-level winds that flow east-west near the equator are indicative of the Walker circulation and associated ENSO phase. Winds over the West Pacific (5°N–10°S, 120°–170°E) ‘connect’ with the circulation of the Indian Ocean.²⁷ In our malaria composite map (Fig. 7), the upper wind is from east (west) over the Atlantic (Pacific), hence opposed prior to high malaria seasons. This upper level circulation induces wet (dry) weather over Africa (South America). An asymmetry of geopotential height over the poles indicates a shift in the polar jet axis away from the SW Pacific towards the South Atlantic prior to years of high malaria incidence (Fig. 8). The southern hemisphere circulation therefore provides a further indication of changes in malaria

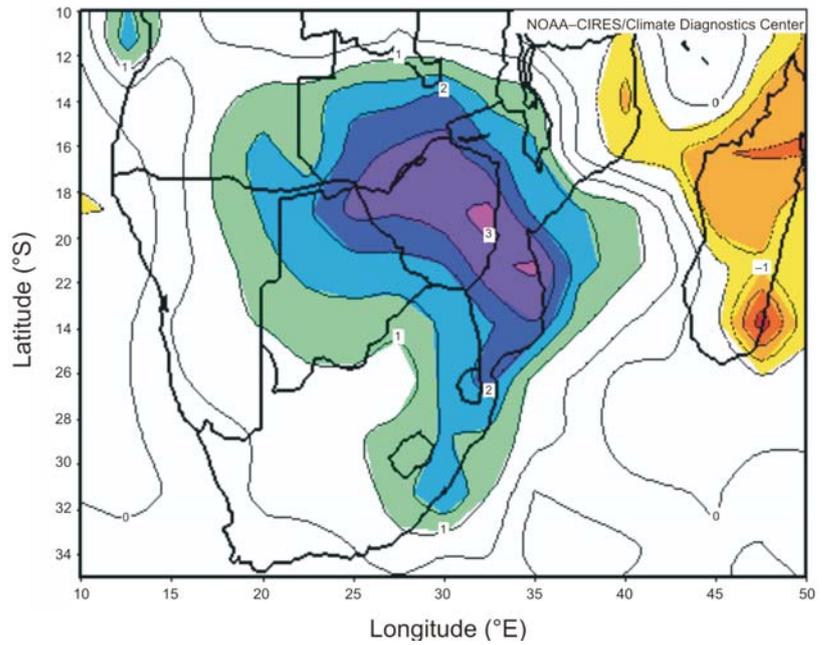


Fig. 4. Rainfall composite differences for high minus low malaria seasons. Units are mm/day for cumulative seasonal differences. Thus a total >480 mm occurs where values exceed 2 (shaded: dark blue).

risk. Seven predictors were derived from composite mapping of the upper air and include: 500-hPa geopotential height and 200-hPa zonal wind speed from the West Pacific, Australia, New Zealand, Central and South Atlantic. Added to these are the SST predictors.

The filtered time series for two candidate predictors, zonal winds over the Pacific and Atlantic, reveal significant covariance with rainfall over southeastern Africa (Figs 9 and 10). Both exhibit cyclical matching in the ranges 3–4 years and 6–10 years. While the West Pacific and equatorial Atlantic zonal winds are tropical and may be expected to exhibit ‘memory’, other variables derive from the southern mid-latitudes and may be ‘noisy’. To test this, the signal-to-noise ratio was computed (Fig. 11, e.g. ‘high season’ and ‘low season’ divided by the respective means). The equatorial Atlantic SST demonstrated the largest difference in high seasons (>20%), whereas the West Pacific zonal wind exhibited significant differences (>15%) in both high and low seasons. The high latitude predictors show changes that are

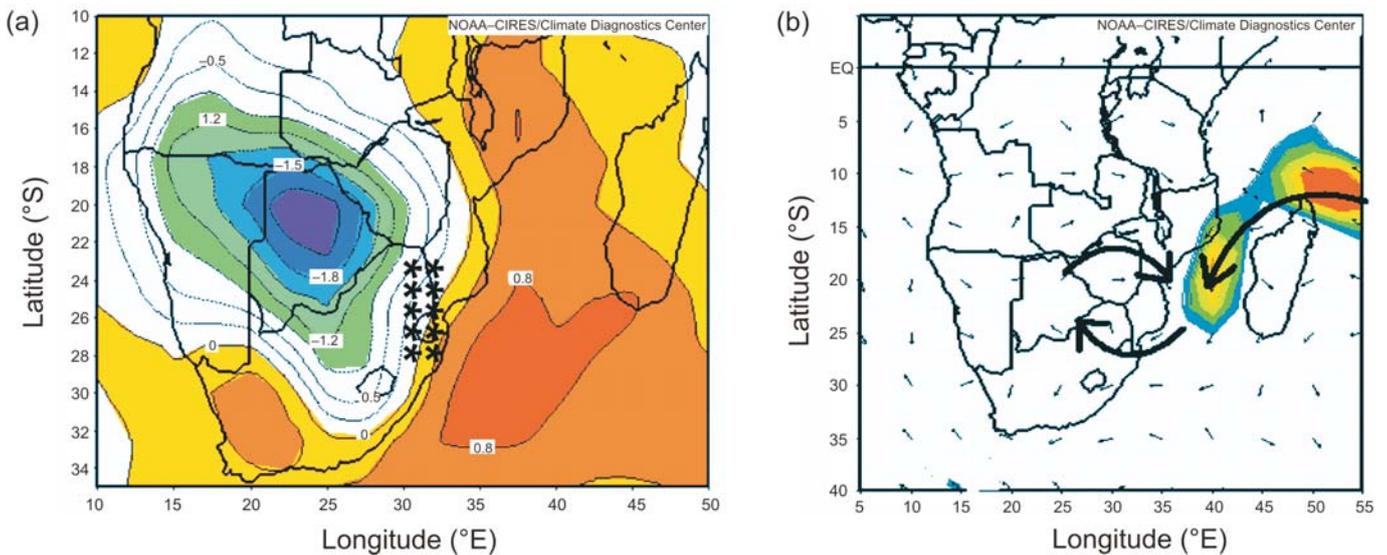


Fig. 5. Composite temperature (a) and vector wind (b) differences for high minus low malaria summers. In the Mozambique Channel, winds and temperatures increase by 1.5 m s⁻¹ and 0.6°C, respectively. Asterisks show the source region for malaria data.

>10%, but some are biased to either low or high years and may fail to indicate both.

Model development

The composite mapping and time series analysis uncovered numerous potential predictors that could be used to develop a statistical model. Predictors from the preceding July–September season would provide the necessary advance warning for mitigating action. As the malaria data were manipulated by de-trending, we also considered area-averaged rainfall as a less manipulated proxy for malaria. Statistical inference is an important step in data analysis and model building. A principle applied here was to use the minimum number of predictors to reduce artificial skill from co-linearity. The form of the multivariate linear regression model was assumed to be $Y = a + bx_1 + cx_2$, where Y is a dependent variable; a , b and c are constants, and x_1 and x_2 are predictors.

Of the possible predictors arising from composite mapping, 10 were deemed suitable for model development. Another seven exhibited unstable behaviour, poor spectral matching, or low signal-to-noise ratios and were rejected. The predictors retained are listed in Table 1. Using step-wise regression in a standard statistical package, predictive equations were developed for the period 1970 to 2002: Malaria (Y_1):

$$Y_1 = 0.43(W_Pac_ZoWin) - 0.50(S_Au_Zo) \\ r^2 = 0.55$$

Rainfall (Y_2):

$$Y_2 = 0.67(W_Pac_ZoWin) \quad r^2 = 0.59$$

Out of the predictor pool, the West Pacific and Australia zonal wind gave the best fit (Fig. 12), an improvement over previous attempts that used Atlantic SST. A contingency table indicates useful tercile forecasts (Table 2) in all cases except for a tendency to predict normal seasons as high (false alarm).

The reliability of a forecast model was assessed by comparing predictions against independent observations. Forecast errors can be calculated, and model ‘drift’ detected. The most recent observations can be used to update the forecasts. Historically based models assume replication, but future conditions will change in space and time. A climatic feature that dominated during a past decade may be less influential in future. To test for this, the first and last decades in the 33-year record were removed and the model re-fitted. In the earlier period, 1970 to 1991, there is a stronger dependence on predictors from the Atlantic and a lower degree of fit ($r^2 = 0.28$). This may be due to less accurate climate data before 1980 or to a shift of climate regime.

Discussion and conclusion

Recent improvements in the availability of climate and health data and increased use of geographical information systems and remote sensing make a climate-based warning system increasingly feasible from a technical point of view. Our research sought to filter out the non-climatic factors affecting malaria incidence through creation of a de-trended record. This essential step was

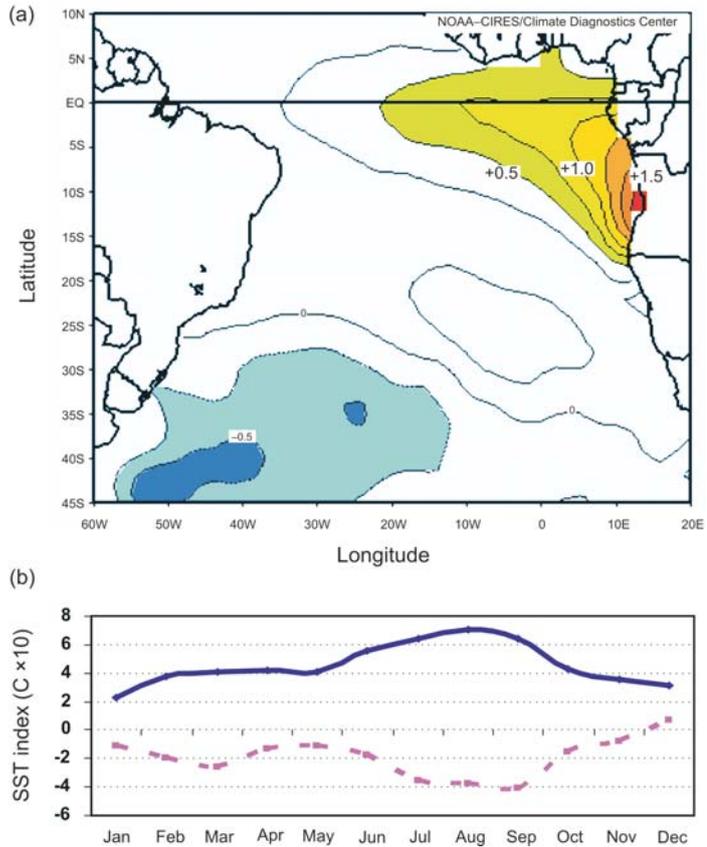


Fig. 6. (a) Composite sea-surface temperature index for high minus low malaria incidence years, at 6-month lead time. (b) Evaluation of predictor trend for East Atlantic SST (2°N–12°S, 2°W–12°E), averaging the composite values for months preceding high and low malaria seasons. —, High incidence; ---, low incidence.

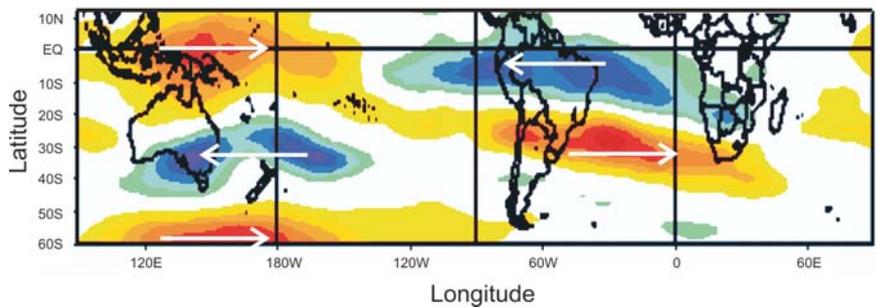


Fig. 7. Composite of 200-hPa (upper level) zonal wind six months before high minus low malaria incidence years, revealing changes in the upper circulation over the South Pacific and South Atlantic oceans. Red > +5 m s⁻¹, blue < -5 m s⁻¹.

taken prior to searching for local impact or remote predictive associations. We thereby demonstrated that local climate anomalies affect malaria incidence in southeastern Africa. This contrasts with the work of Craig *et al.*,⁹ who found no relationship between climate and malaria data. Their work provides useful short-term predictions based on persistence.

We have indicated the value of using indices of the upper atmospheric circulation as predictors, in addition to tropical SST. The analytical steps involved in quantifying the climate–malaria link included: 1) De-trending malaria data to remove increases associated with drug resistance. From the stable record, cyclicity was evident and high and low malaria years were identified. 2) Searching for climate predictors from global ocean and atmospheric data sets; followed by the testing of predictors for signal-to-noise ratio, phase lag and co-variance with respect to de-trended malaria and area-averaged rainfall. 3) Developing

Table 1. Details of candidate predictors considered in regression modelling.

Predictors	Abbreviation	Domain
West Pacific 200-hPa zonal wind	W_Pac_ZoWin	4°N–8°S, 130–160°E
Surface pressure New Zealand	SLP_New	37–50°S, 160°E–170°W
New Zealand 500-hPa geopotential	Ge_New	35–50°S, 160°E–170°W
Central Pacific SST	Pac_SST	5°N–5°S, 170–130°W
South Atlantic 200-hPa zonal wind	S_Atl_Zo	22–32°S, 40–20°W
South of New Zealand 200-hPa zonal wind	S_Ne_Zo	55–65°S, 140–180°E
South Australia 200-hPa zonal wind	S_Au_Zo	30–40°S, 130–150°E
Equatorial Atlantic 200-hPa zonal wind	Eq_Atl_Zo	2–12°S, 50–30°W
Equatorial East Atlantic SST	Eq_Atl_SST	2°N–12°S, 2°W–12°E
South Atlantic SST	S_Atl_SST	30–45°S, 40–20°W
South Atlantic 500-hPa geopotential	S_Atl_Ge	32–45°S, 50–10°W

model equations and performing independent validation tests. Model accuracy was found to be such that over half the variance of malaria incidence and its proxy could be explained using only one or two variables at a six-month lead time.

Shifts in tropical rainfall are influenced by ‘meandering’ of the jet stream in the higher latitudes. Composite upper winds for high minus low malaria seasons revealed a pattern of alternating northward and southward flow every 3500 km around the southern hemisphere (wave 5). This adjustment by the southern jet stream to ENSO (starting in the west Pacific) provides a basis for malaria forecasts in southeastern Africa. Changes in sea temperatures and winds over the Atlantic were similarly related to the ENSO signal, and may be seen as a downstream continuation of the same forcing.

The northeasterly winds around Madagascar are part of the Indian Ocean Walker circulation. In seasons with high malaria incidence, they transfer warm moist air from Madagascar towards the east coast of southern Africa. This regional phenomenon is linked to a global ENSO phase, wherein the Pacific Walker circulation intensifies, the East Pacific cools and the East Atlantic warms. These changes often bring widespread rain over southeastern Africa, the most recent example being the floods of 2000,²⁸ when malaria incidence reached its highest level ever.

Of several possible needs in health control, the strengthening of systems for reporting the incidence of epidemic diseases is seen as a high priority.²⁹ Good quality, long-term data are essential for generating models relating climate to infectious disease. The development of forecasts for some diseases has stalled

Table 2. Contingency table for model predicted and de-trended (observed) malaria incidence in tercile categories.

	Pred_Low	Pred_Norm	Pred_High	Obs_Total
Obs_Low	7	2	0	9
Obs_Norm	2	1	8	11
Obs_High	1	0	9	10
Pred_Total	10	3	17	30

Pred, predicted; Obs, observed.

because of a shortage of suitable epidemiological data. More commonly, disease–climate modelling has been restricted to short data sets representing small areas. Here, the South African/Swaziland data point to a much more extensive signal affecting Africa southeast of the Zambezi River valley. The implication

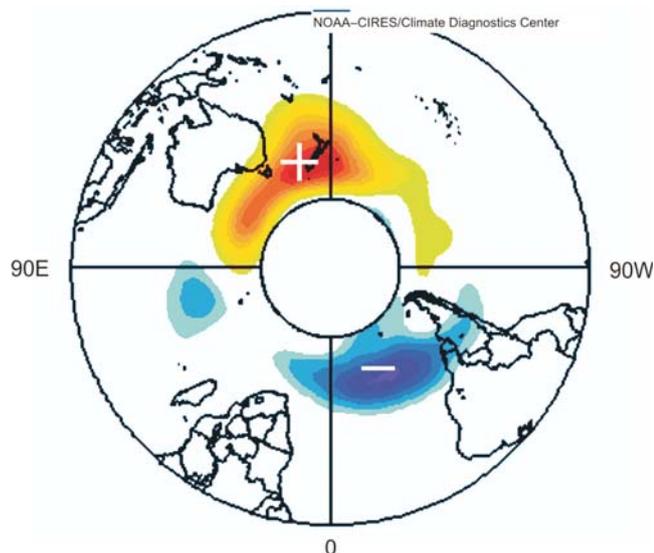


Fig. 8. Composite of 500-hPa geopotential height differences six months before high minus low malaria incidence years. Red >40 m, blue < –20 m. The pattern represents an offset of the polar jet stream, away from the SW Pacific, towards the South Atlantic. This southern polar projection has South Africa at 7 o’clock.

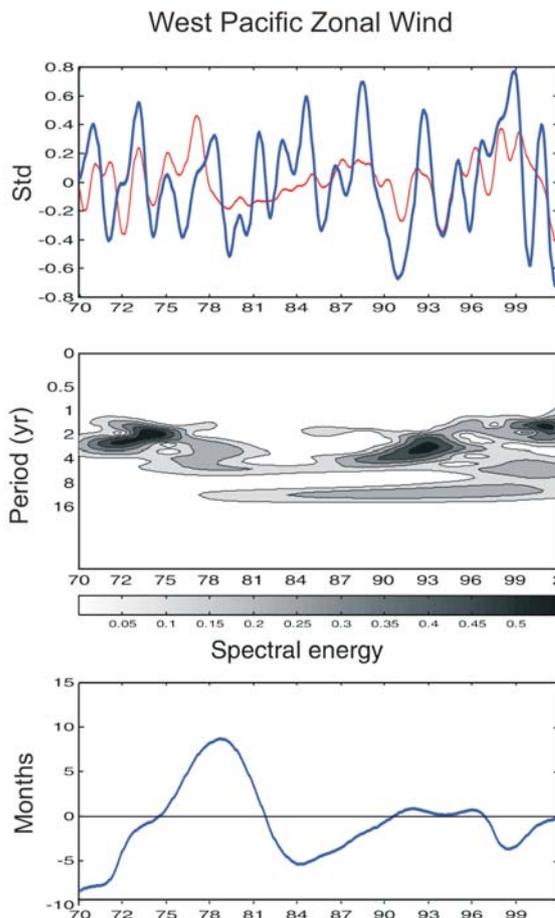


Fig. 9. Interannual filtered time series for rainfall (red) and predictor (blue). The co-variance spectra and lag are analysed in the middle and lower panels. Refer to Table 1 for predictor locations.

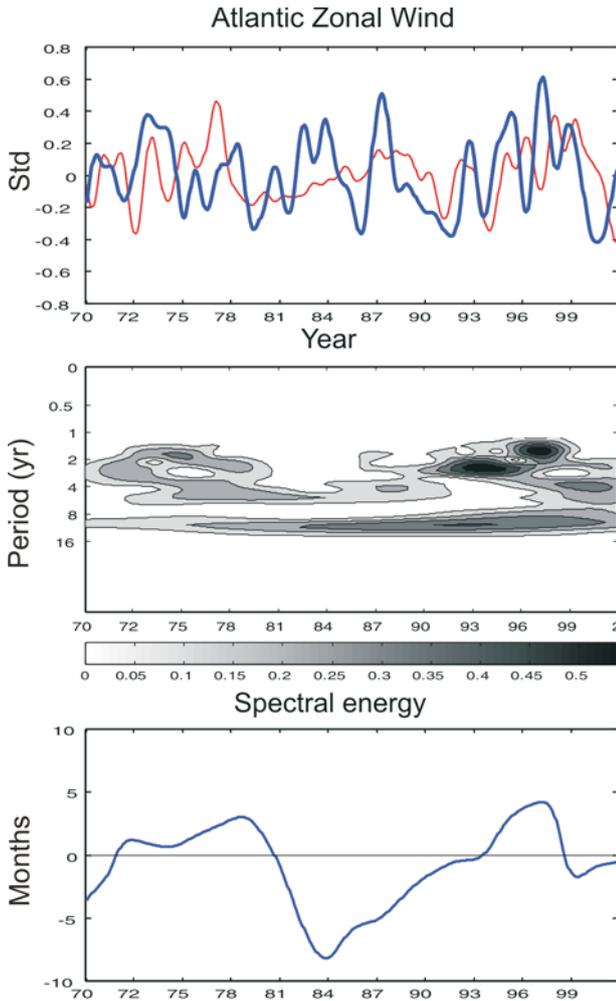


Fig. 10. Interannual filtered time series for rainfall (red) and predictor (blue). The co-variance spectra and lag are analysed in the middle and lower panels. Refer to Table 1 for predictor locations.

is that our model should be tested against health data from countries sharing the same climate signal (for example, Zimbabwe and Mozambique). In some cases, viable surveillance systems may exist but require modification to ensure timely transfer of data from the point of collection to the point of analysis. For diseases such as malaria, which often are diagnosed clinically, further work needs to be carried out to determine the extent to which the quality of diagnosis affects our ability to recognize (and predict) epidemics.

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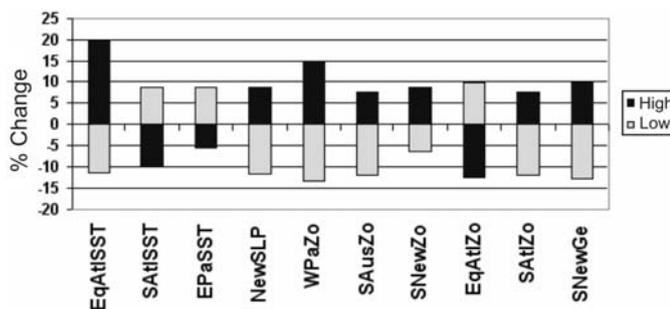


Fig. 11. Ratio (%) of changes for candidate predictors, comparing their composite responses in high and low malaria incidence years to the long-term mean. Refer to Table 1 for predictor details.

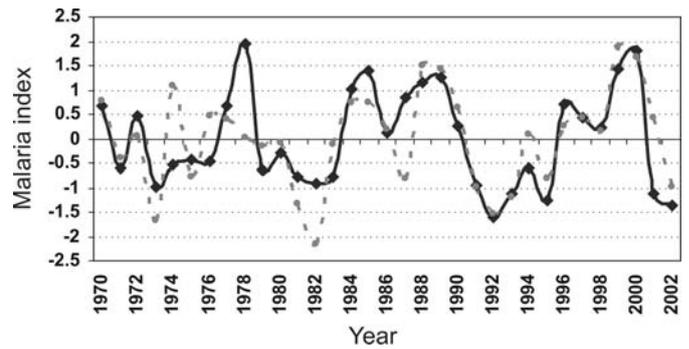


Fig. 12. De-trended malaria observations (continuous line) and model fit at six-month lead time (dashed line).

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