Physiotherapy practice in South African intensive care units

M Lottering, MSc (Physiotherapy); H van Aswegen, PhD

Department of Physiotherapy, School of Therapeutic Sciences, Faculty of Health Sciences, University of the Witwatersrand, Johannesburg, South Africa

Corresponding author: H van Aswegen (helena.vanaswegen@wits.ac.za)

Background. Physiotherapists are integral members of the interprofessional team that provides care and rehabilitation for patients in intensive care units (ICUs).

Objectives. To describe the current practice of physiotherapists in ICUs, determine if physiotherapists' practice has changed since a previous report and determine if practice is evidence based.

Methodology. A questionnaire was content validated and made available electronically and in hard copy. Physiotherapists who work in ICUs in public or private sector hospitals or who are members of the South African Society of Physiotherapy were identified and invited to participate. **Results.** Survey response rate was 33.9%. Patient assessment techniques performed 'very often' included ICU chart assessment (n=90, 83.3%), chest auscultation (n=94, 81.8%) and cough effort (n=81, 75%). Treatment techniques performed 'very often' included manual chest clearance (n=101, 93.5%), in-bed mobilisation and positioning (n=91, 84.3%; n=91, 84.3%, respectively), airway suctioning (n=89, 82.4%), out-of-bed mobilisation (n=84, 77.8%), deep breathing exercises (n=83, 76.9%) and peripheral muscle-strengthening exercises (n=72, 73.1%). More respondents used intermittent positive pressure breathing (57 v. 28%, p=0.00), used adjustment of mechanical ventilation (MV) settings (30 v. 15%, p=0.01), were involved with weaning patients from MV (42 v. 19%, p=0.00) and used incentive spirometry (76 v. 46%, p=0.00) than reported previously. More respondents performed suctioning (99 v. 70%, p=0.00), extubation (60 v. 25%, p=0.00) and adjustment of MV settings (30 v. 12%, p=0.02) than reported internationally.

Conclusion. Physiotherapy practice in ICUs is evidence based. Care focuses largely on mobilisation, exercise therapy and multimodality respiratory therapy.

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Research activity in critical care literature is currently focused on early rehabilitation of patients with critical illness and its effects on length of stay, number of ventilator-free days and functional outcomes.^[1,2] Physiotherapists are integral members of the interprofessional team that provides care and rehabilitation to patients with critical illness, on an international and national level.^[1,3,4] Recently, there has been a drive to establish minimum standards of clinical practice for physiotherapists in South African (SA) intensive care units (ICUs) to ensure that safe and effective patient care is provided.^[4] Few reports on physiotherapy practice in ICU could be sourced. In 2000, Norrenberg and Vincent^[5] conducted a study to establish the profile of physiotherapists working in ICUs in Europe. Van Aswegen and Potterton^[6] subsequently amended the survey questionnaire compiled by the aforementioned authors for use in an SA setting and conducted a pilot survey to determine the scope of practice of physiotherapists in ICUs. Content validation of the modified questionnaire was not carried out at the time and, therefore, the reported results were preliminary. Defining the current practice of physiotherapists working in ICUs is important to assist with the development of clinical practice guidelines and minimum standards of physiotherapy practice. It was therefore decided to conduct a nationwide survey to: (i) determine the current practice of physiotherapists in SA ICUs; (ii) determine if physiotherapists' practice in ICUs had changed since the previous report; and (iii) validate the survey questionnaire. In addition, SA physiotherapists' practice in ICU was compared with that reported in critical care and rehabilitation literature, to determine if current practice is evidence based.

Method

A cross-sectional, quantitative, descriptive, survey-based study was performed. Ethical clearance was sought and obtained from the University of the Witwatersrand Human Research Ethics (Medical) Committee (clearance no.: M130131) prior to commencement. The first phase of the study consisted of content validation of the questionnaire used by van Aswegen and Potterton.^[6] The questionnaire and survey objectives were sent electronically to a group of five physiotherapists experienced in working in ICUs. They were asked to review the documents prior to a meeting scheduled with the authors. At the meeting, questions that were deemed unsuitable were either adjusted or removed from the questionnaire and additional questions were added where indicated. The amended questionnaire was emailed back to the group members for further scrutiny. A few minor adjustments were then suggested and made by the authors. After consensus was reached on the content of the final version of the questionnaire, it was loaded onto SurveyMonkey for the second phase of the study. The final version of the questionnaire consisted of the following sections: participant demographics, ICU type in which physiotherapists work, patient referral method, after-hours service provision, assessment and treatment techniques used in patient management, participation in interprofessional team meetings and professional development activities.

Physiotherapists with work experience of 3 years or more in adult ICUs in public or private sector hospitals or who were members of the Cardiopulmonary Physiotherapy Rehabilitation Group (CPRG) of the SA Society of Physiotherapy (SASP) were contacted in 2013 and invited to participate in the survey. Potential participants were identified using the following methods:

(*i*) The Department of Health was contacted to obtain a list of all public sector hospitals in SA. Using this list, all physiotherapy heads of departments (HODs) in public sector hospitals that had ICUs were contacted and informed of the aims of and inclusion criteria for the survey.

(*ii*) A list of hospitals belonging to the Life, Mediclinic and Netcare groups was obtained from their respective websites and hospitals with ICUs were contacted. The unit manager of the ICU was contacted for

details of the physiotherapy private practices working in their unit. After agreement was received from practice owners for their details to be shared, unit managers passed the information to the authors who contacted them regarding the aims of and inclusion criteria for the survey.

(*iii*) The chairperson of the CPRG of the SASP was contacted and informed of the aims of and inclusion criteria for the survey. The chairperson was asked to invite members of the CPRG to participate in the survey.

Practice owners and HODs were asked to discuss the survey with their staff. The contact details of those who fitted the inclusion criteria and agreed to participate were passed on to the authors. An electronic link to the survey was sent to these physiotherapists. Alternatively, hard copies of the survey information sheet, inclusion criteria, questionnaire and self-addressed return envelopes were distributed to those who did not have access to the electronic link. All hard copy questionnaires were coded. Participants were given 3 months, from May 2013, to complete the questionnaire, and consent was implied. One and 2 months after initiation of the survey, a blanket reminder email was sent out to all participants who were initially emailed with the electronic link, to remind them to complete the survey and to thank those who had already done so. The HODs of hospitals that were sent postal questionnaires were phoned at the same intervals and asked to remind their staff to complete and return the questionnaires; those who had already completed questionnaires were thanked.

Statistical analysis

Categorical data were summarised as frequencies and percentages in text and illustrative tables. Comparisons of findings from the current survey with those reported by van Aswegen and Potterton,^[6] and Norrenberg and Vincent,^[5] were made using the χ^2 test or in narrative form where statistical comparisons were not possible. The denominator for the χ^2 test was Yes/No, related to each treatment technique used as reported in the current survey and results reported by the other two surveys.^[5,6] A *p*-value of ≤0.05 was considered statistically significant. SPSS version 22.0 (IBM Corp., USA) was used for statistical analyses.

Results

The authors identified 71 public hospitals that had ICUs at the time of the survey. There were 64 Life, 49 Mediclinic and 56 Netcare hospitals

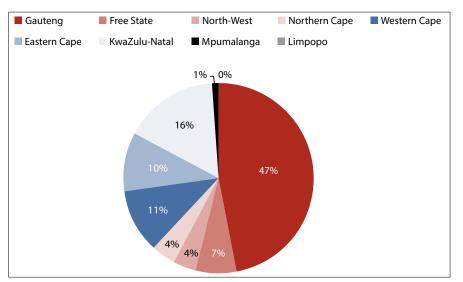


Fig. 1. Geographical representation of respondents (n=108).

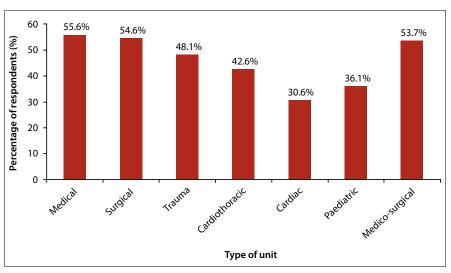


Fig. 2. Type of ICU in which respondents worked.

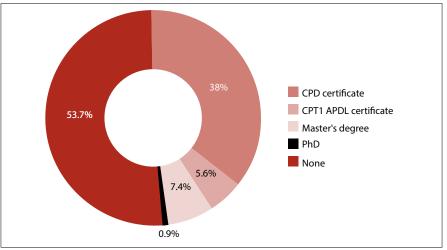


Fig. 3. Percentage of respondents who held any type of postgraduate cardiopulmonary physiotherapy qualification.

in SA at the time of the survey, of which 49 Life, 32 Mediclinic and 44 Netcare hospitals had ICUs with physiotherapy service provision. These 125 private hospitals were contacted by the authors, and contact details of 154 private physiotherapy practices were obtained. A total of 319 questionnaires were sent out (n=252 electronic and n=67 postal). Eightyfive electronic responses were received and 23 postal questionnaires were returned (response rates of 33.7% and 34.3%, respectively) with a combined response rate of 33.9% (n=108).

Physiotherapists working in private sector hospitals made up 60.2% (n=65) of respondents. Responses were received from physiotherapists in all the SA provinces with the exception of Limpopo Province (Fig. 1). A large number of respondents (n=51, 47.2%) were from

Gauteng. The majority of respondents worked in medical, surgical or combined medico-surgical ICUs (Fig. 2). Fifty-six respondents (46%) held a postgraduate qualification related to cardiopulmonary physiotherapy (Fig. 3). In most cases, respondents indicated that patients in ICU were referred for physiotherapy by doctors or nurses (n=59, 54%); however, some reported that the physiotherapist working in the unit screened the patients to determine if they

Assessment technique	Never	Almost never	Sometimes	Fairly often	Very often
Auscultation	0	1	2	11	94
ICU chart review	1	0	5	12	90
Strength of cough effort	4	3	7	13	81
X-ray/Computed tomography scan	0	0	7	28	73
Arterial blood gas analysis	3	6	15	24	60
Thoracic expansion	3	9	20	22	54
Readiness for mobilisation using specific criteria	7	10	15	33	43
Need for humidification	22	13	25	20	28
Peripheral muscle strength (dynamometry or Medical Research Council scale)	30	16	21	19	22
Respiratory muscle strength (MIP)	25	24	25	18	16
Percussion note to assess quality of lung tissue	29	24	24	17	14
Readiness for weaning (RSBI)	39	24	17	15	13
Calculation of lung compliance	39	36	18	8	7
Calculation for the presence of hypoxaemia	45	29	20	9	5
MIP = maximum inspiratory pressure; RSBI = rapid shallow breathing index.					
*Ranked according to activities performed 'very often'.					

Physiotherapy technique	Never	Almost never	Sometimes	Fairly often	Very often
Manual chest clearance techniques (percussions, vibrations, shaking)	2	0	1	4	101
Mobilising a patient in bed	0	1	0	16	91
Positioning a patient in bed	0	0	5	12	91
Airway suctioning	1	0	2	16	89
Mobilising a patient out of bed	0	2	6	16	84
Deep breathing exercises	2	0	2	21	83
Positioning a patient out of bed	0	3	8	18	79
Peripheral muscle-strengthening exercises	2	0	5	29	72
Postural drainage/modified postural drainage	2	2	12	25	67
Active cycle of breathing techniques	5	6	15	26	56
Nebulisation	2	4	7	43	52
IS	19	7	24	21	37
Blow bottle	20	11	16	30	31
Blowing up a glove	33	6	31	18	20
Inspiratory muscle training (threshold device/devices by other manufacturers)	31	23	23	15	16
Manual hyperinflation (ambubagging)	14	26	33	20	15
IPPB	27	19	28	21	13
Active involvement in weaning a patient from MV	39	24	16	16	13
Adjustment of MV settings for respiratory muscle training	53	23	15	13	4
Implementation and supervision of non-invasive ventilator support (CPAP, BiPAP)	55	22	13	15	3
Flutter device	46	31	17	11	3

IS = incentive spirometry; IPPB = intermittent positive pressure breathing; CPAP = continuous positive airway pressure; BiPAP = bilevel positive airway pressure. *Ranked according to activities performed 'very often'. were suitable for physiotherapy intervention (n=49, 45%). An after-hours physiotherapy service was provided by 72% (n=78) of respondents to ICUs during weekdays. The majority of respondents (n=105, 97%) provided weekend physiotherapy services to their ICUs.

Respondents were asked how often they used various methods of assessment to determine patient suitability for physiotherapy intervention and how often they used various treatment techniques in their patient management. They could select responses indicating either 'never', 'almost never', 'sometimes', 'fairly often' or 'very often'. Responses related to assessment are summarised in Table 1 and those related to treatment in Table 2. The most frequently used assessment techniques included ICU chart assessment (n=90, 83%), chest auscultation (n=94, 82%) and assessment of strength of cough effort (n=81, 75%). Assessment techniques that were 'almost never' or 'never' used included calculation of lung compliance (n=75, 69%), calculation of hypoxaemia (n=74, 69%) and assessment of patient readiness for weaning (n=63,58%). Objective outcome measures such as MIP, RSBI, dynamometry or Medical Research Council scale were more often not used. Treatment techniques performed by respondents 'very often' included manual chest clearance techniques (n=101, 94%), mobilising a patient in bed (n=91, 84%), positioning a patient in bed (n=91, 84%), airway suctioning (n=89, 82%), mobilising a patient out of bed (n=84, 78%), deep breathing exercises (n=83, 77%) and peripheral muscle-strengthening exercises (n=79, 73%). Treatment techniques that respondents 'never' or 'almost never' used included the flutter device (n=77, 71%), implementation and supervision of noninvasive ventilation support (n=77, 71%) and adjustment of mechanical ventilation (MV) settings for respiratory muscle training (n=76, 70%).

Fifty-six percent (n=60) of respondents attended ward rounds in the ICU on a daily or weekly basis. Forty-five percent (n=49) of respondents had attended an ICUrelated continuous professional development activity within the last year. Thirty-four percent (n=37) of respondents were involved in student training and 33% (n=36) were involved in training of other members of the interprofessional team in the ICU. A large number of respondents were involved with the inservice training of colleagues, such

Table 3. A comparison of physiotherapy techniques used by the respondents in the
study by Norrenberg and Vincent ^[5] and the current study

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	Norrenberg and Vincent (Europe, 2000)		Current (SA, 20			
Treatment technique	Yes, %	No, %	Yes, %	No, %	<i>p</i> -value	
Respiratory treatment	98	2	98	2	1	
Suctioning	70	16	99	1	0.00	
IPPB/NIPPV	46	29	57	43	0.56	
Intubation	1	90	1	99	0.95	
Extubation	25	50	60	40	0.00	
Adjustment of MV	12	65	30	70	0.02	
Weaning from MV	22	56	42	58	0.06	
Mobilising	100	0	99	1	0.32	
Positioning	90	1	99	1	0.95	
NIPPV = non-invasive positive pressure ventilation.						

Table 4. A comparison of physiotherapy techniques used by the respondents in the study by van Aswegen and Potterton^[6] and the current study

	and Pot	Van Aswegen and Potterton (SA, 2005)				
Treatment technique	Yes, %	No, %	Yes, %	No, %	<i>p</i> -value	
Respiratory treatment	98	2	98	2	1	
Suctioning	98	2	99	1	0.56	
IPPB	28	72	57	43	0.00	
Intubation	2	98	1	99	0.56	
Extubation	65	35	60	40	0.46	
Adjustment of MV	15	85	30	70	0.01	
Weaning from MV	19	81	42	58	0.00	
Mobilising	98	2	99	1	0.56	
Positioning	95	5	99	1	0.10	
Blow bottle	70	30	71	29	0.88	
MHI	75	25	63	37	0.07	
IS	46	54	76	24	0.00	
MHI = manual hyperinflation						

MHI = manual hyperinflation.

as training junior physiotherapists to work safely in the ICU (n=51, 47%) and training other physiotherapists at their hospital or private practice to work safely in the ICU (n=55, 50%).

Comparisons were made between physiotherapy treatment techniques used in this survey and those reported by Norrenberg and Vincent^[5] (Table 3). More SA respondents performed suctioning (99 v. 70%, p=0.00), extubation (60 v. 25%, p=0.00) and adjustment of MV settings (30 v. 12%, p=0.02) than their European counterparts. Similarly, comparisons were made between physiotherapy treatment techniques used in this survey and those reported by van Aswegen and Potterton^[6] (Table 4). More respondents in the current survey used IPPB (57 v. 28%, p=0.00), performed adjustment of MV settings (30 v. 15%, p=0.01), were involved with weaning patients from MV (42 v. 19%, p=0.00) and used IS (76 v. 46%, p=0.00) in patient management than reported in the 2005 survey.^[5]

Discussion

Immobility, bed rest and inflammation during critical illness result in impaired ventilation, decreased lung compliance and increased airway resistance. These conditions lead to respiratory system dysfunction, muscle protein breakdown and weakness, and subsequent neuromusculoskeletal system dysfunction.^[3] As a result, physiotherapists are involved in the prevention and treatment of respiratory and neuromusculoskeletal conditions of patients with critical illness.^[1,3] This report provides updated information on physiotherapy practice in SA adult ICU settings and is timely, in light of a recent drive to develop minimum standards for physiotherapy practice in ICU.^[4]

Clinical decision-making regarding rehabilitation interventions in the ICU is based on multisystem assessment of the critically ill patient to identify potential problems and precautions, and contraindications to such interventions.^[7,8] Most of the respondents in this survey frequently conducted ICU chart assessment, auscultation, assessment of cough effort, thoracic expansion, assessment of radiological investigations and patient readiness for mobilisation. This is in keeping with recommendations made by others on patient assessment in ICU^[4,7,8] and supports the importance of individualised patient management in ICU. Physiotherapy patient assessment was not reported on in the surveys by Norrenberg and Vincent^[5] or van Aswegen and Potterton^[6] and therefore comparison with current results cannot be made.

Most respondents reported that they frequently performed mobilisation of patients in and out of bed and peripheral musclestrengthening exercises. This is in keeping with the statement of Hanekom *et al.*^[4] that physiotherapists are rehabilitation experts who form an integral part of the interprofessional team in ICU. The indisputable role for physiotherapy in the ICU setting is confirmed by strong evidence that demonstrates the beneficial effects of early mobilisation and strengthening exercises on ICU and hospital length of stay, number of ventilator-free days and functional outcomes.^[1,2]

The use of outcome measures is important to determine patients' responses to treatment received and evaluate the usefulness of treatment techniques employed.^[9,10] Even though regular assessment of patient readiness for mobilisation was reported, it was interesting to find that respondents did not often use outcome measures such as dynamometry or the Medical Research Council scale to assess patients' peripheral muscle strength. Calculation for the presence of hypoxaemia or decreased lung compliance was rarely done. Poor oxygenation and restricted lung ventilation is known to affect a patient's respiratory reserve and their ability to perform exercise and mobilisation. Time constraints and lack of equipment were highlighted as factors that influence physiotherapists' use of outcome measures in daily practice.^[9] The authors speculate that these may have been contributory factors to the results of the current survey but this would require further investigation.

Assessment of patient readiness to wean from MV and maximal inspiratory pressure to assess respiratory muscle strength was done less often. Respondents reported using active cycle of breathing techniques or deep breathing exercises frequently during patient management in the ICU, but only a few used inspiratory muscle trainer devices or adjustment (temporary) of mechanical ventilator settings for respiratory muscle training. They reported limited active involvement in weaning of patients from MV. This is not in keeping with the report by Plani et al.,^[11] which showed that physiotherapists' involvement in a weaning and extubation protocol implemented in the management of critically ill trauma patients in an SA ICU setting resulted in a clinically significant reduction in MV time. The use of respiratory muscle trainer devices has been shown to significantly increase maximal inspiratory pressure^[12,13] and tidal volume^[13] and shorten time to weaning from MV.^[12] The majority of respondents reported being involved with extubation of patients and more respondents in the current survey reported involvement with adjustment of mechanical ventilator settings and weaning of patients

from MV than reported previously.^[6] However, reasons for continued limited active involvement of physiotherapists in weaning of patients from MV require further exploration.

Pulmonary hygiene in the form of nebulisation, manual chest clearance techniques, postural drainage/modified postural drainage positions, positioning a patient in bed and suctioning were performed frequently, whereas manual hyperinflation was performed less often. These findings are in keeping with the use of multimodality respiratory physiotherapy in the care of critically ill patients described by Stiller.^[1] Multimodality respiratory physiotherapy, a combination of techniques including positioning, chest-wall vibrations, percussions, manual hyperinflation and suction,^[1,14,15] has been shown to reduce the incidence of ventilator-associated pneumonia^[14] and shorten duration of MV and ICU length of stay in previous reports.^[15] The selective use of manual hyperinflation in patient care is in keeping with research evidence, as this technique is associated with side-effects such as marked haemodynamic changes in the form of decreased cardiac output in some critically ill patients, and increased intracranial pressure in traumatic brain injury patients.^[3] Alternatively, physiotherapists' level of confidence in the application of manual hyperinflation as part of patient management might have influenced the results and would need further exploration. Assessment of patients' need for humidification was done less often by respondents in this survey. Patients who receive supplemental oxygen via non-invasive ventilation or through invasive ventilation via an artificial airway require adequate humidification to prevent secretions from becoming too viscous, forming mucus plugs in distal airways and resulting in lung volume loss or infection;^[16,18] therefore, humidification therapy and regular assessment for changes in patient humidification needs should form part of daily practice in the ICU. Oscillatory positive expiratory pressure (PEP) in the form of a blow bottle seems to be a popular treatment intervention for physiotherapists in SA, as a large number of respondents in the current and 2005^[6] surveys reported using it as a treatment strategy. Limited evidence exists to support the use of PEP over other breathing exercises in patients following abdominal or thoracic surgery.^[19] More respondents in the current survey used IPPB and IS as part of patient treatment in ICU than those in the 2005 survey.^[6] IS is frequently used by others in the postoperative setting for lung volume recruitment in spontaneously breathing patients.^[3] A limited number of respondents used IPPB or blowing up a glove on a regular basis, which may reflect that limited research evidence exists to support their use in clinical practice, especially glove-blowing. The authors acknowledge, however, that varying availability of IPPB equipment in the clinical setting may have influenced results.

Compulsory training in theoretical and clinical aspects of care of the critically ill patient is offered to all undergraduate physiotherapy students at the eight universities in SA. Postgraduate physiotherapy training in the field of critical care is voluntary,^[4] which offers an explanation for the relatively low number of respondents with any type of postgraduate qualification. In the survey by Van Aswegen and Potterton,^[6] 36% of respondents held a postgraduate qualification compared with 46% in the current survey, which indicates a growing interest among SA physiotherapists to build on the knowledge base obtained at undergraduate level to work safely and effectively with critically ill patients. A number of respondents indicated that they shared their knowledge through training of students, junior physiotherapists and other members of the ICU interprofessional team. The majority of respondents provided after-hours physiotherapy service to the ICU on weekdays. This was, however, less than the 82 - 94% of respondents who provided a weekday after-hours physiotherapy service to ICUs in the 2005 survey.^[6] Reasons for this reduced service provision are unclear. Physiotherapy service provision to the ICU over the weekend remains a priority of care, as almost all respondents provided such service in the current survey, similar to previous results (96%).^[6] One area of concern is that only half of the respondents were involved in training of physiotherapists in their hospital to ensure that they work safely in ICU after hours; this requires further exploration.

Study limitations

The response rate of survey-based studies may influence the standard and quality of research; therefore, it is important to know if a surveybased study has a high non-response bias. A response rate of 60% should be the goal for all survey-based studies.^[20] The current study carries a 66% non-response bias and thus the results should be interpreted with caution.

Recently, emphasis has been placed on the use of validated outcome measurement tools such as the Chelsea Critical Care Physical Assessment (CPAx) tool^[21] and Physical Function in ICU test (PFIT)^[8] to assess patient function in ICUs. The use of electrical muscle stimulation to prevent disuse muscle atrophy in critically ill patients is gaining popularity among clinicians and researchers.^[1,3] Future surveys on physiotherapy practice in SA ICUs should include these.

Conclusion

The care provided by physiotherapists to adult patients in SA ICUs consists mostly of exercise therapy, mobilisation and multimodality respiratory therapy. This is in keeping with international reports in the fields of critical care and rehabilitation. Future exploration of physiotherapists' role in weaning of patients from MV, use of functional outcome measures and electrical muscle stimulation in the critical care setting, as well as the training of staff for after-hours service provision, is recommended.

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