# The evolution of sialendoscopy in clinical practice

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#### **ABSTRACT**

Sialendoscopy is a relatively new technique first described by Katz et al. in 1991. The technique of sialendoscopy entails using semirigid endoscopes to cannulate and view the interior of salivary ducts and to manage obstructions within these ducts.

This technique provides a minimally invasive alternative to the traditional very invasive techniques of salivary gland excision. It can be used as a diagnostic instrument and as a therapeutic intervention for various causes of salivary duct obstruction.

The objective of this research report was to assess the outcomes of sialendoscopy, performed over a 10-year period from 2008 and 2018 in a private practice setting in South Africa.

The outcomes of sialendoscopy as a therapeutic intervention were compared for different aetiologies of salivary duct obstruction. A therapeutic sialendoscopy was deemed successful if after the obstruction was treated, the patient remained asymptomatic at follow up and if no complications ensued during sialendoscopy.

The success rate in this study was 73.3%. The cases that were considered failures were due to excessive bleeding, abscess formation and failure of calculus retrieval. Despite our small sample size, it appears that sialendoscopy offers good outcomes for both the diagnosis and the treatment of the majority of cases of salivary ductal obstruction and that it is the gold standard for the first line management of this pathology.

#### **Keywords**

Sialendoscopy, sialadenectomy.

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#### INTRODUCTION

Sialendoscopy is a relatively new technique and before its advent, distal salivary gland obstructions were removed *via* an intraoral excision.<sup>1</sup>

The technique has evolved over the years and is now used across the world. The technique of sialendoscopy entails using semi-rigid endoscopes to cannulate and view the interior of the salivary ducts.

These endoscopes can be used as diagnostic as well as interventional tools as they have a hollow working channel that allows the passage of stone baskets, drills and fiber-optic lasers to fragment and remove calculi. Salivary duct obstruction is however not confined to salivary calculi alone, for obstructions may be caused by polyps, mucous plugs and strictures as well.<sup>2</sup>



Figure 1. A stone basket with ensnared calculus.

Long term results of sialendoscopy are to be judged on:

- Its ability to avoid gland resection with its concomitant complications.
- 2. Whether its results are long lasting.
- 3. Whether it has fewer and less severe complications than sialadenectomy.<sup>3,4</sup>

#### LITERATURE REVIEW

Sialendoscopy is associated with complications. However, these are minor complications in comparison with those encountered with sialadenectomy, which may be severe. Facial nerve injury is the most serious followed by Frey's Syndrome, lingual nerve injury and hypoglossal nerve injury.

Facial and neck scarring are significant complications of **RESULTS** the procedure.2

As with any new procedure it seems that there is a definite learning curve. According to Steck et al. failure to cannulate ducts and failure to remove stones appear to be the most common problems encountered in the first cases performed by surgeons. The complication rates are higher in the first 50 cases than seen in subsequent cases.5

According to Bowen et al. sialendoscopy is a safe and effective alternative to open surgery with low rates of major complications. Experience of options to overcome the tedious steps, like dilation of the ductal meatus and well planned case selection, are key to success.

The ultimate aim of sialendoscopy would be to diagnose and treat salivary duct obstruction guickly and efficiently without the need for general anaesthesia. This efficiency can only be attained with experience.6

Luers et al. concluded that it required 30 cases to improve skills to a level adequate to achieve satisfactory operation times, but true proficiency ensued after the first 50 cases. In this study the average operative times were 39 minutes and there was a significant improvement after the 10th case and then again after the 30th case.7

Traditionally the old gold standard in diagnosing salivary duct obstruction was the sialogram. It has been superseded by ultrasound, CT (computerized tomography) scan and MRI (magnetic resonance imaging) scanning. The advantage of sialography is that it can show the salivary ductal system beyond a calculus.

None of these investigations offer the simultaneous diagnostic and therapeutic intervention that is possible with sialendoscopy.

#### **METHODS**

#### Study design

This is a record review of the files of patients who underwent sialendoscopies in a private practice setting in South Africa. Adult patients of all age groups were included. The duration of the study was from 2008 to 2018.

The variables extracted from the clinical records were as follows:

- 1. Age
- 2. Sex
- 3. Unilateral vs Bilateral Disease:
- 4. Type of gland
- 5. Radiological findings vs Sialendoscopy findings
- 6. Complications
- 7. Duration of follow up
- 8. Further treatment required
- 9. Successful sialendoscopy was defined as:
  - a). Identification of a cause of obstruction, from a diagnostic point of view.
  - b). A symptom-free patient on follow up, from a therapeutic point of view,

#### **Demographics**

There were 39 patients in the original sample. 24 were excluded due to lack of consent. Of the remaining 15 cases, six were male and nine were female. Eight were parotid duct obstructions and seven were submandibular duct obstructions. There were two cases with bilateral sialadenitis, thus for 15 patients, 17 glands underwent sialendoscopy.

#### **Investigations performed**

Sialograms were performed in seven cases, all of which showed obstructions. Ultrasound was undertaken in four cases, three unilateral and one bilateral, showing obstructions in three out of the five glands.

One case was a failed sialendoscopic extraction of a calculus at another centre, that nonetheless had proven the existence of a calculus. The remaining three cases underwent CT scans preoperatively. Two were unilateral cases and one was bilateral. Of these four glands, CT scanning showed pathology in two.

Sialendoscopy found pathology in all the cases investigated with sialograms. In one case ultrasound showed a false positive as sialendoscopy did not find a calculus as had been indicated by the ultrasound.

Sialendoscopy correlated well with a negative CT scan of bilateral enlarged parotid glands in one patient. CT scan demonstrated false positive results in two glands where no calculi were found on sialendoscopy.

#### **Outcomes/complications**

From the 15 cases four can be considered failures. Two were abandoned due to excessive bleeding and one due to the extraction basket breaking. One of the abandoned cases complicated with abscess formation and had to be converted to a submandibulectomy.

One patient with bilateral recurrent parotitis had three calculi successfully removed on one side but only debris was found on the other side. The procedure had to be repeated a second time on this side due to symptom recurrence.

The second attempt again did not show calculi and only debris was found. However, after the second sialendoscopy the patient has been symptom free.

#### Statistical tests performed

To determine whether there was an association between variables. Chi-square tests of independence were performed. Only 15 data points were available due to the small sample size.

Both the critical values and the p-values were calculated. Tests were carried out at a 5% level of significance. In some cases, a phi value was calculated to test correlation between variables.

#### Hypotheses tested

 i). Whether successful sialendoscopy is associated with gender:

The test was done using a chi-square test of independence, and a p-value of 0.633533 was calculated.

This is larger than 0.05 and therefore fails to reject the null hypothesis. There is no significant proof that gender plays a role in a successful sialendoscopy.

ii). Whether successful sialendoscopy is associated with age:

The test was done using a chi-square test of independence and p-value of 0.439039 was calculated.

This is larger than 0.05 and therefore fails to reject the null hypothesis. There is no significant proof that age plays a role in a successful sialendoscopy.

iii). Whether successful sialendoscopy is associated with gland type:

Test was done using a chi-square test of independence and a p-value of 0.1855 was calculated.

This is larger than 0.05 and therefore fails to reject the null hypothesis. There is no significant proof that gland type plays a role in a successful sialendoscopy.

iv). Whether successful sialendoscopy is associated with unilateral/bilateral obstruction:

Test was done using a chi-square test of independence and a p-value of 0.359626 was calculated.

This is larger than 0.05 and therefore fails to reject the null hypothesis. There is no significant proof that whether the obstruction is unilateral or bilateral plays a role in a successful sialendoscopy.

v). Whether sialendoscopy is more successful with certain types of glands?

The two data points that had no obstructions shown in the sialendoscopy were removed, leaving 13 data points.

The data pertinent to the Parotid and Submandibular glands were subjected to a chi-square test of independence to determine whether there was a predilection for either of these glands suffering a calculus or a stricture.

A p-value of 0.260914 was calculated. This is larger than 0.05 and the null hypothesis may not be rejected. There is no significant proof that there is an association between gland type and different types of obstructions.

vi). Whether successful sialendoscopy is associated with gender:

Test was done using a chi-square test of independence and a P-value of 0.792212 was calculated.

This is larger than 0.05 and the null hypothesis may therefore not be rejected. There is no significant proof

that there is an association between gender and obstructive disease in the salivary glands. Again, the data does not contain many patients who do not present with obstructive disease. A much larger sample of the general population would need to be carried out to determine the significance of this test accurately.

#### vii) Sialendoscopy vs. radiology

Radiology accurately diagnosed the problem only 73.3% of the time Sialendoscopy was accurate 100% of the time in cases where there were no complications. However, there were indeed two failures which means that its accuracy was 86.7%.

#### Diagnostic sialendoscopy vs. radiology

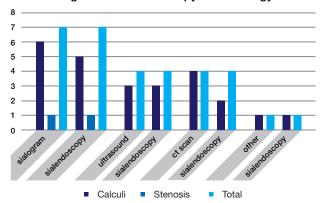


Figure 2. Diagnostic sialendoscopy vs. radiology.



**Figure 3.** Success rate of sialendoscopy as a management tool. In this dataset a success rate of 73.3% was recorded (only four 'failures' in the dataset of 15).

#### **DISCUSSION**

Sialendoscopy is a relatively recent tool in the management of obstructive diseases of the major salivary ducts and it is gaining popularity. Since histopathological studies have shown that salivary glands which have been excised due to sialolithiasis have normal glandular architecture, glandular preservation of the gland should be the primary goal of treatment.

Papadopolou et al. perform sialendoscopy under general anaesthesia in children under 8 years of age and under local anaesthesia for children over the age of 8. Their study was conducted retrospectively on sialendoscopies performed on children for juvenile recurrent parotitis.<sup>10</sup>

A study by Gallo et al., in which 1152 sialendoscopies were performed, showed a male to female preponderance of 52% vs 48%. Sialoliths made up 53.1% of the pathology in this sample. The majority of cases were of the submandibular glands at 55.4% and parotid gland cases accounted for the remaining 44.6% of cases.<sup>11</sup>

Deenadayal et al. found in their study of 133 cases that obstruction was found bilaterally in 26 cases, 10 cases were normal, and pathology was found in a total 149 glands. 78 patients were male, and 55 patients were female. 16 were paediatric cases. The age range of the study population was from 3 to 76 years. The cases were not all evaluated by ultrasound, but 54 cases were, and pathology was detected in 27 patients. Twenty two of these had calculi, four appeared to have Sjogren's disease and one had sialectasia. 12

Salivary duct strictures are the second most common cause of ductal obstruction. They represent 25% of obstructive cases. It is difficult to demonstrate a stricture with MRI or CT scanning. Sialography can demonstrate strictures. Dilation of a salivary duct after applying a sialogogue can be demonstrated on ultrasound, indirectly showing a stricture.<sup>13</sup>

Strictures are less common in Wharton's duct than in Stensen's duct. The more distal strictures are amenable to open surgery as they are easily accessible orally.<sup>14</sup>

Koch et al. undertook in 2014 a study of 82 patients with parotid duct stenosis, treated with sialendoscopic dilatation.<sup>4</sup> After an average follow-up period of 98.48 months, 50% percent of the patients reported having recurrent gland swelling but only 19.5% reported recurrent pain. Independent of the type of stenosis, a significant reduction in symptoms and improvement in the perceived quality of life after the treatment was observed.

Follow-up examinations were carried out in 20.73% of the patients and repeat sialendoscopy was performed in 12.1% of the patients.<sup>4</sup> Recurrent stenoses were alleviated in every case. The glands were saved in every patient.

Long-term follow up after sialendoscopic dilatation of stenoses of Stensen's duct show that high success rates, good gland preservation rates and good patient perceived outcomes are attainable.<sup>4</sup>

Patient satisfaction appears good from the aforementioned data. What remains to be shown is whether normal salivary gland function continues after ductal obstruction is relieved. According to Marchal et al., the histopathologic grade of 48 submandibular glands resected due to sialolithiasis and examined by the same histopathologist did not correlate with the frequency and duration of symptoms.<sup>15</sup>

Therefore, multiple infections or prolonged duration of symptoms are not good predictors of the severity of functional loss of submandibular glands excised due to salivary calculi.

Despite the lack of evidence of a direct link between histologic findings and function, the widespread misconception is that submandibular glands with calculi must be excised as they are no longer functional.<sup>15</sup>

Some indications for sialadenectomy include:

- 1. Multiple failed stricture dilatations.
- 2. Massive or multiple intraparenchymal calculi with recurrent sialadenitis.
- 3. Complications during sialendoscopy procedures such as bleeding and stone basket entrapment.
- 4. Hilar or parenchymal calculus removal failure.
- 5. Persistent symptomatic sialadenitis in Sjogren's syndrome patients.
- Patients previously treated with radioactive iodine with persistent symptomatic sialadenitis.<sup>2</sup>

#### CONCLUSION

Sialendoscopy offers good outcomes for both diagnosis and non-invasive treatment of the majority of cases of salivary ductal obstruction and is the gold standard for the management of this pathology.

#### **Acknowledgements**

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#### **Ethics approval**

The study is unconditionally approved by the Human Research Ethics Committee of The University of the Witwatersrand. The Clearance Certificate number is M111130.

Informed consent was obtained from all 15 patients included in the study

#### **Conflict of interest**

The authors declare that they have no financial or personal relationships which may have inappropriately influenced them in writing this article.

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Table 1.								
Patient number	Age (Years)	Sex	Unilateral or bilateral	Submandibular	Parotid			
MU1	39	Male	Left	X				
MU2	47	Male	Left	X				
MU3	35	Female	Right		X			
MU4	62	Female	Left		X			
MU5	44	Female	Right		X			
MU6	47	Female	Right	X				
MU7	63	Female	Right	X				
MU8	35	Male	Right	X				
MU9	39	Female	Bilateral		X			
MU11	40	Male	Left	X				
MU12	17	Female	Left		X			
DU1	66	Male	Left		X			
DU2	48	Male	Right		X			
DU3	44	Female	Right	X				
DU4	37	Female	Bilateral		X			

Table 2.						
Patient number	Radiology findings	Sialendoscopy findings	Other treatments	Complications	Follow-up	Further treatment required
MU1	Sialogram: One calcu- lus left wharton's duct	One calculus extracted from left wharton's duct	None	None	3 Years	None
MU2	Ultrasound: One left wharton's duct calculus	Procedure abandoned due to excessive bleeding	Left submandibulectomy performed     Incision and drainage of wound abscess	Abscess formation	1 Month	None
MU3	Sialogram: One right stensen's duct calculus	One calculus broken down with drill and extracted, right stensens duct	None	None	Lost to follow up	None
MU4	Sialogram: One left stensen's duct stricture	Left stensen's duct stricture dilated using scope and basket	None	None	5 Years	None
MU5	Ultrasound: Two right stensen's duct calculi	Two calculi in right stensen's duct drilled and extracted	None	None	Lost to follow up	None
MU6	Sialogram: One right wharton's duct calculus	Procedure abandoned due to bleeding	None	None	Lost to follow up	None
MU7	Sialogram: One calculus in right wharton's duct	Failed extraction: basket broken	Right submandibulectomy	None	Lost to follow up	None
MU8	Failed sialendoscopy at another centre: right wharton's duct calculus identified	One calculus re-extracted from right wharton's duct	None	None	1 Week	None
MU9	Ultrasound: prominent parotid glands	No calculi found. No strictures found.	None	None	1 Week	None
MU11	CT: One left wharton's duct calculus	Left wharton's duct calculus lasered and extracted	None	None	3 Months	None
MU12	Sialogram: no calculus identified left check	Calculus found in left proximal check duct	None	None	3 Months	None
DU1	Sialogram: Left parotid calculi	Two calculi in left parotid duct	None	None	10 Months	Left parotid recurrent calculus abscess. External incision and extraction performed
DU2	CT: two calculi suspected in right parotid duct	No calculi found	None	None	1 Week	None
DU3	Ultrasound: One right wharton's duct stone	One calculus extracted	None	None	1 Week	None
DU4	CT: Bilateral stensen's duct calculi	Left parotid: Three calculi extracted Right parotid: no calculi found, debris only	None	Symptoms continued right side	1 Week	Right sialendoscopy repeated: debris found. No calculi identified

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