Modelling and analysis of the Brumadinho tailings disaster using advanced geospatial analytics

I. Atif1, F.T. Cawood1, and M.A. Mahboob2

Introduction
The mining industry plays a significant role in supporting the economies of several developed and underdeveloped countries around the globe. Massive volumes of solid and liquid waste are produced as part of the mining and metals extraction processes. This has the potential for several negative socio-economic and environmental impacts. Among these, the greatest threat is failure of a tailings storage facility (TSF), which may contain a large volume of mining wastes. TSF failure is not a new phenomenon and many such events have occurred around the world, as shown in Figure 1. Some of the critical failures are Mount Polley in Canada (Byrne et al., 2015), Merriespruit in South Africa (van Niekerk and Viljoen, 2005), Cieneguita mine in Mexico (Warden, 2018), Cerro Negro in Chile (Valenzuela, 2018), Rio Pomba Cataguases in Brazil (Oliveira and Kerbany, 2016), and Bento Rodrigues in Brazil (Segura et al., 2016), but there are many more.

There can be many reasons for TSF failures, such as an earthquakes (17% of global failures) (Villavicencio et al., 2014; Lyu et al., 2019), heavy rainfall (Ozkan and Ipekoglu, 2002), construction issues (17.5% of global failures) (Davies, 2002; Lyu et al., 2019), poor maintenance (Rico et al., 2008), excess pore water pressure (21.6% of global failures) (Wang et al., 2016, Lyu et al., 2019), starter wall and foundation failure and slope instability (Davies, 2002). Hence, proper monitoring, management, and risk assessment should be done to minimize the devastating impacts of a possible failure. To assess the risk of a potential TSF hazard, the first (and the most important) step is to map and quantify the magnitude of potential damage that can occur in the zone of influence. The zone of influence is the area that would be significantly affected in case of a TSF failure and should be categorized as a risk zone.

Usually, field-based surveys can be used to map the zone of influence. However, such mapping is expensive and time-consuming. Recent advances in digital technologies like Geographical Information Systems (GIS) and Remote Sensing (RS) have made mapping and modelling a viable option for...
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...delineating the zone of influence. In the last decade, GIS and RS have been extensively and successfully used in disaster management studies, e.g. seismic disasters (Ehrlich et al., 2009; Frigerio et al., 2016); flood disasters (Atif, Mahboob, and Waheed, 2015; Salajd et al., 2020); geological disasters (Li et al., 2005, Mahboob et al., 2015b, 2019b); droughts (Raut et al., 2020, Atif, Iqbal, and Su, 2019); and fire disasters (Hinkley, 2019). Several commercial and open-source satellite data-sets are available for both pre- and post-disaster analysis and future risk assessments (Mahboob et al., 2019b; Voigt et al., 2007; van Westen, 2013).

The applications of these advanced technologies can also be useful in the mining industry, particularly for TSF management. For example, Rudorff et al. (2018) investigated the impact of the Samarco tailings dam collapse on the turbidity of the Doce River plume off the eastern Brazilian coast by applying Landsat and MODIS-Aqua imagery. Mura et al. (2018) used advanced differential interferometric synthetic aperture radar (A-DInSAR) data from TerraSAR-X satellites to monitor the spatial and temporal displacement and assess the vulnerability of the TSF at Samarco in Brazil. Goff et al. (2019) proposed a cost-effective solution for monitoring and management of tailings by combining satellite-based Earth observations and global navigation satellite systems, such as the Global Positioning System (GPS) technologies, with real-time on-site instrumentation. Wang et al. (2018) modelled tailings slurry runout using a Smoothed Particle Hydrodynamics (SPH) method. They concluded that SPH numerical modelling is a powerful technique that can be recommended for risk assessment and design assessments of TSFs. However, these numerical models are usually expensive and computationally very demanding when applied to solve the mathematical equations in order to predict the tailings flow paths.

On 25 January 2019, one of the most severe tailing dam failures ever occurred in Brazil at Brumadinho Córrego do Feijão iron ore mine. About 11.7 million cubic metres of tailings was released, inundating around five miles of the area downstream and killed at least 259 people (Vale, 2020). Nine persons are still missing. The reason for the dam failure is still under investigation. Along with the TSF failure at Samarco, this disaster has put the mining industry under enormous pressure concerning safety in the zone of influence. The risk of failure of tailings dams located in Brazil has been assessed by experts, who found that 27 of the total number of TSFs in the country represent a high risk to human life, infrastructure, and the environment (New York Times, 2019). If these TSFs, which are located in mountainous regions, failed, then an estimated number of more than 100 000 people living downstream could be affected, along with severe environmental destruction. Hence, for effective TSF management, it is essential to define the zone of influence as part of the risk assessment process. In this research, advanced geospatial analytics have been used to develop a model for mapping the potential tailings spill path (TSP) in case of a TSF failure. This can help decision-makers to assess, quantify, and manage the risk in a better, efficient, and scientific way.

Materials and methods

Study area

The study area is a tailings dam at Brumadinho Córrego do Feijão iron ore mine, located in Belo Horizonte's Brumadinho metropolitan district in southeastern Brazil (Figure 2). The study area is a tailings dam at Brumadinho Córrego do Feijão iron ore mine, located in Belo Horizonte's Brumadinho metropolitan district in southeastern Brazil (Figure 2). The average minimum and maximum temperatures of the study area are 16°C and 27°C respectively, with average annual precipitation of 965 mm (38 inches). The Brumadinho region has a complex geology with different types of sedimentary ores like high-grade compact and soft haematite, soft inabirite, canga rica, slumped canga, and rolado, with alluvial pebbles and cobbles of compact haematite (Simmons, 1968). Extensive mining has been conducted for more than 150 years (Chase, 2008) for gold and iron. Brazil is the world's second-largest iron ore producing country (De Moraes and Ribeiro, 2019).

Upstream construction method

The Brumadinho region has several tailings dams located in the vicinity of mining areas, most of which are constructed using the upstream method (Valenzuela, 2018).

This economical construction method is very common in low seismic risk areas. A cross-section illustrating the construction method is shown in Figure 3 (Soares, Arnez, and Hennies, 2000). In this method, the fresh tailings are deposited on top of the previously placed tailings. This requires the tailings to provide support for the dam and as such makes this type of dam potentially more prone to failure where the tailings are of low strength and materials may be prone to liquefaction (McLeod...
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Figure 3—Overview of upstream tailing dam construction method (redrawn from McLeod, Murray, and Berger, 2003)

Table I

<table>
<thead>
<tr>
<th>Sr. no.</th>
<th>Event</th>
<th>Image date</th>
<th>No. of spectral bands</th>
<th>Spatial resolution</th>
<th>Remarks</th>
</tr>
</thead>
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<td>Brumadinho tailings dam pre-failure</td>
<td>7 January 2019</td>
<td>4 (blue, green, red, and near-infrared)</td>
<td>10 × 10 m</td>
<td>Model testing</td>
</tr>
<tr>
<td>2</td>
<td>Brumadinho tailings dam post-failure</td>
<td>1 February 2019</td>
<td>4 (blue, green, red, and near-infrared)</td>
<td>10 × 10 m</td>
<td>Model testing</td>
</tr>
<tr>
<td>3</td>
<td>Samarco tailings dam post-failure</td>
<td>26 December 2015</td>
<td>4 (blue, green, red, and near-infrared)</td>
<td>10 × 10 m</td>
<td>Model validation</td>
</tr>
</tbody>
</table>
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Grid was generated to represent flow in several directions with unique colour symbols, where the colours represent directional distribution of flow (Figure 4).

The flow direction raster was then used to generate the flow accumulation map to highlight the total accumulated flow from higher to lower elevation based on the specified threshold value for the pixels (Figure 5). It is noteworthy that flow accumulation plays a vital role in dam failures, surface landslides, and subsurface land subsidence (Liu et al., 2014).

For the delineation of tailing spill paths, the threshold was examined by applying the histogram equalize stretch to the flow accumulation raster. It helps to determine the minimum number of cells that contribute to generating flow into the target cells. This threshold was found to be the most sensitive parameter of the TSP model for determining the spill path accurately. The lower the threshold value, the lower will be the number of cells that can contribute to generate the flow. The model was tested for the Brumadinho Córrego do Feijão tailings dam for threshold values starting from 100 and increasing in steps of 100 till the flow accumulation started towards the downslope cells. The final threshold value was found to be greater than or equal to 2000. The model was further validated on another TSF (Samarco dam disaster) located in a similar region to assess its accuracy under certain conditions. The work flow and Python code for the development of the TSP model are given in Figure 6 and Appendix-A, respectively.

Results and discussion

The pre-failure Sentinel-2A satellite image of the Brumadinho Córrego do Feijão TSF is given in Figure 7. The dark and light green tones represent the forest cover and farmlands respectively. The whitish-grey colour represents the semi-urban region (towns and villages), and the dark purple colour highlights the freshwater resources. The TSF is shown as dark grey, which might be related to the altered chemical materials in the tailings.

In addition to this pre-failure satellite image, the high-resolution DigitalGlobe satellite image acquired from Google Earth was also analysed to assess the significant elements at risk due to TSF failure (Figure 8).

The post-failure Sentinel-2A satellite image (Figure 9) shows the spill and the extent of destruction caused by the failure. After mapping of the affected area, the digital footprint of the tailings spill was extracted and overlaid on the high-resolution imagery for detail damage analysis, as shown in Figure 10.

The results show that the total area of the spill was about 3.1 km$^2$. A straight line from the start (the TSF) to end of the flow (Paraopeba River) measured 6 km. However, the length of the spill measured along the centre line of the flow path was about 10 km. The main infrastructure affected comprised the Vale administrative office, a railway bridge, some farmlands in the northwest, and houses located in the southern side of the town. A considerable portion of the tailings ran into the Paraopeba River, causing significant pollution.
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A total of 109 buildings were damaged; 36 belonged to Vale and the remaining 73 were local residences (Figure 11). Some farmlands were wiped out and left under a sea of mud with depths of around 8 m. Seven sections of local roads, one main road, and one railway bridge were severely damaged. Field pictures from post-failure are given in Figure 12, showing the collapsed bridge (Figure 12A), aerial views of the catastrophe (Figures 12B and F), and demolished roads and houses (Figures 12C–E).

For the back-propagation modelling of the TSF failure, the TSP model was developed and applied to predict the potential path of the tailings spill based on the DEM of the area. The Graphical User Interface (GUI) of the developed model is shown in Figure 13.

The input of the model is the raw DEM and satellite image of the study area. The model can generate several output maps such as processed DEM, processed satellite image, elevation, slope, flow direction, flow accumulation, and the potential path that the liquefied tailings will take. The elevation map showed that the vertical height variation from Brumadinho Córrego do Feijão TSF to the Paraopeba River was 207 m (from 948 m to 741 m above mean sea level), as shown in Figure 14.

The results of slope analysis (Figure 15) showed a slope profile of between 0 and 28 degrees with the maximum slope of 28 degrees at the TSFs and zero towards the Paraopeba River. The developed model was applied to delineate the potential tailings flow paths, before the failure. The results show that the potential spill path as predicted by the TSP model using geospatial analytics matched the actual extents of the tailing spill, shown in Figure 16.

The elevation profile of the predicted tailings spill path was also extracted – illustrated in Figure 17. The elevation difference is 207 m with an average topographic slope of 2.07% over the 10 km horizontal distance to the Paraopeba River.

A TSP model was developed for TSFs located in mountainous terrain and was further validated for the Samarco Tailings dam of Brazil. The Samarco TSF failure, which occurred on 5 November 2015, also constituted a major disaster in the history of the mining industry. Figure 18 shows the potential tailings spill path (red line) from the Samarco TSF as predicted by the TSP model, overlaid on the post-failure satellite image. The results illustrate...
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that the predicted tailings flow path is in accordance with and within the actual tailings spill extent, hence validating the model.

This TSF failure devastated two communities, Bento Rodrigues and Paracatu de Baixo, located about 2 km and 38 km away from the collapsed dam (do Carmo et al., 2017). The section in Figure 19 shows the modelled tailings flow up to point X in Figure 18, which is 50 km away from the start of the spill. Modelling was stopped at point X due to the presence of thick cloud cover in the next satellite image. In this case, the elevation difference is 804 m with an average slope of 2.2%.

The validation results showed that the model is capable of making acceptable predictions of the potential tailings flow path in the case of TSF failures in mountainous regions. The output of the model is also suitable for mapping a first pass of the zone of influence, which in turn can be used in the development of

Figure 12—Field pictures of post-failure damage. Railway bridge (A), aerial views (B and F), houses (C and D), and destroyed road (E) Source: Bonacorso (2019)

Figure 13—Graphical user interface (GUI) of the TSP model

Figure 14—Pre-failure topographical digital elevation model of the tailings spill area
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Figure 15—Pre-failure topographical slope profile of tailing spill path

Figure 16—Modelled tailing spill path (red) overlaid on real tailing spill extent (black)

Figure 17—Elevation profile of Brumadinho tailings spill path

Figure 18—Spatial footprint (red line) of the Samarco TSF spill modelled by TSP (map scale 1cm : 1km)

Figure 19—Elevation profile of Samarco tailing spill path
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mitigation strategies as well as guide search and rescue missions. The accuracy of the TSP model is highly dependent on the spatial resolution of the input DEM. Fine resolution (≤5 m) will generate more detailed and accurate results.

Conclusion

Geospatial technologies were successfully applied for post-failure modelling and analysis of TSF failures. The TSP model was developed and applied to two TSF failures, Brumadinho Córrego do Feijão and Samanco in Brazil. The predicted tailings flow paths based on geospatial technologies were found to correlate well with the actual (post-failure) paths.

An attempt was made to delineate the path followed by the spill from the Brumadinho Córrego do Feijão iron ore mine TSF using satellite data and geospatial technologies. The TSP model developed, and methodology adopted, in this research is robust, advanced, and can be applied to other TSFs for assessment of areas which could be affected in case of failure. Currently, the model is suited for the prediction of the longitudinal section of a tailings flow; however, further research into prediction of the the cross-sectional characteristics is also recommended. High-resolution LiDAR (Light Detection and Ranging) point cloud data-sets could be used for this purpose. The unavailability of high-resolution post-failure satellite images and topographical data (<1 m spatial resolution) was the main limitation of this research. This data, if available, could improve the modelling results.

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References


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Appendix A

Python code for the development of the TSP model

import arcpy

from arcpy import env

env.workspace = ‘C:\GIS-TSP_ModelData’

arcpy.CheckOutExtension(“Spatial-Analyst”)


PRIMEM[’Greenwich’,0.0],UNIT[’Degree’,0.0174532925199433],PROJECTION[’Transverse_Mercator’],PARAMETER[’False_Easting’,500000.0],PARAMETER[’False_Northing’,0.0],PARAMETER[’Central_Meridian’,-45.0],PARAMETER[’Scale_Factor’,0.9996],PARAMETER[’Latitude_Of_Origin’,0.0],UNIT[’Meter’,0.1],resampling_type=’NEAREST’,cell_size=’29.9314345838804 29.9314345838805’,geographic_transform=’,’,Registration_Point=’,’,in_coor_system=’GEOGCS[’GCS_WGS_1984’],DATUM[’D_WGS_1984’],Spheroid[WGS_1984],3857130.0,298.257223563’].

...
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```python
PRIMEM['Greenwich',0.0],
UNIT['Degree',0.0174532925199433],
vertical='NO_VERTICAL')
arcpy.ProjectRaster_management(in_raster=DEM,
out_raster=Output_Project_DEM, out_coor_system='PROJCS[WGS_1984_Complex_UTM_Zone_23N',
GEOGCS['GCS_WGS_1984',
DATUM['D_WGS_1984',
SPHEROID['WGS_1984',6378137.0,298.257223563'],
PRIMEM['Greenwich',0.0],
UNIT['Degree',0.0174532925199433],
PROJECTION['Transverse_Mercator_Complex'],
PARAMETER['False_Easting',500000.0],
PARAMETER['False_Northing',0.0],
PARAMETER['Central_Meridian',-45.0],
PARAMETER['Scale_Factor',0.9996],
PARAMETER['Latitude_Of_Origin',0.0],
UNIT['Meter',1.0]],
resampling_type='NEAREST',
cell_size='29.9314345838804 29.9314345838805',
geographic_transform='', Registration_Point='', in_coor_system='PROJCS[WGS_1984_UTM_Zone_23N',
GEOGCS['GCS_WGS_1984',
DATUM['D_WGS_1984',
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PARAMETER['Latitude_Of_Origin',0.0],
UNIT['Meter',1.0]],
vertical='NO_VERTICAL')
arcpy.env.overwriteOutput = False
Filled-DEM = arcpy.GetParameterAsText(0)
Satellite_Imagery = arcpy.GetParameterAsText(1) Atmospherically_Radiometrically_Corrected_Satellite_Image_ = arcpy.GetParameterAsText(2)
Rectified_DEM = arcpy.GetParameterAsText(3)
Flow_Direction_Map = arcpy.GetParameterAsText(4)
Flow_Accumulation_Map = arcpy.GetParameterAsText(5)
Tailing_Spill_Path = arcpy.GetParameterAsText(6)
Strahler_Order = arcpy.GetParameterAsText(7)
inSurfaceRaster = "Elevation"
sink_minimum = ZonalStatistics(sink_areas, "Value", inSurfaceRaster, "Minimum")
sink_maximum = ZonalFill(sink_areas, inSurfaceRaster)
sink_depth = Minus(sink_maximum, sink_minimum)
h-Limit = 3.28
Output-Fill = Fill(inSurfaceRaster, h-Limit)
Output-Fill.save("C:\GIS-TSP Model\Data\Filled-DEM")
inRaster = "Filled-DEM"
outMeasurement = "DEGREE"
method = "GEODESIC"
arcpy.CheckOutExtension("3D-Analyst")
arcpy.Slope_3d(inSurfaceRaster, outMeasurement, method)
arcpy.Slope_3d.save("C:\GIS-TSP Model\Data\Slope")
inRaster = "Filled-DEM"
method = "D8"
outFlowDirection = FlowDirection(inRaster, method, outRaster)
outFlowDirection.save("C:\GIS-TSP Model\Data\Flow-Direction")
inflowDirectionRaster = "outFlowDirection"
dataType = "INTEGER"
Output-FlowAccumulation = FlowAccumulation(inflowDirectionRaster, dataType)
Output-FlowAccumulation.save("C:\GIS-TSP Model\Data\Flow-Accumulation")
Flow-Accumulation-Con = Con(Raster("Output-FlowAccumulation") > 2000, "Output-FlowAccumulation")
Flow-Accumulation-Con.save("C:\GIS-TSP Model\Data\Flow-Accumulation-Con")
RasterConditioning = "Flow-Accumulation-Con"
TailingSpillPath = "C:\GIS-TSP Model\Data\Tailing Spill Path.shp"
backgrVal = "ZERO"
dangleTolerance = 50
field = "VALUE"
arcpy.RasterToPolyline_conversion(inRaster, TailingSpillPath,
backgrVal, dangleTolerance, "SIMPLIFY", field)
StreamRaster = "Output-FlowAccumulation"
FlowDirectionRaster = "output-FlowDirection"
StreamorderMethod = "STRAHLER"
TSPStrahlerOrder = StreamOrder(StreamRaster, FlowDirectionRaster, StreamorderMethod)
TSPStrahlerOrder.save("C:\GIS-TSP Model\Data\Spill Path order.shp")
```